An Integrated Approach to Parallel Scheduling Using Gang-Scheduling, Backfilling, and Migration

Yanyong Zhang, *Member*, *IEEE*, Hubertus Franke, *Member*, *IEEE*,
Jose Moreira, *Member*, *IEEE*, and Anand Sivasubramaniam, *Member*, *IEEE*

Abstract—Effective scheduling strategies to improve response times, throughput, and utilization are an important consideration in large supercomputing environments. Parallel machines in these environments have traditionally used space-sharing strategies to accommodate multiple jobs at the same time by dedicating the nodes to a single job until it completes. This approach, however, can result in low system utilization and large job wait times. This paper discusses three techniques that can be used beyond simple space-sharing to improve the performance of large parallel systems. The first technique we analyze is backfilling, the second is gang-scheduling, and the third is migration. The main contribution of this paper is an analysis of the effects of combining the above techniques. Using extensive simulations based on detailed models of realistic workloads, the benefits of combining the various techniques are shown over a spectrum of performance criteria.

Index Terms—Parallel scheduling, gang scheduling, backfilling, migration, simulation.

1 Introduction

Large scale parallel machines are essential to meet the needs of demanding applications at supercomputing environments such as Lawrence Livermore (LLNL), Los Alamos (LANL), and Sandia National Laboratories (SNL). With the increasing emphasis on computer simulation as an engineering and scientific tool, the load on such systems is expected to become quite high in the near future. As a result, it is imperative to provide effective scheduling strategies to meet the desired quality of service parameters from both user and system perspectives. Specifically, we would like to reduce response and wait times for a job, minimize the slowdown that a job experiences in a multiprogrammed setting compared to when it is run in isolation, maximize the throughput and utilization of the system, and be fair to all jobs regardless of their size or execution times.

Scheduling strategies can have a significant impact on the performance characteristics of a large parallel system [3], [4], [7], [10], [13], [14], [20], [21], [24]. Early strategies used a space-sharing approach, wherein jobs can run side by side on different nodes of the machine at the same time, but each node is exclusively assigned to a job. Submitted jobs are kept in a priority queue which is always traversed according to a priority policy in search of the next job to execute. Space sharing in isolation can result in poor utilization since there could be nodes that are unutilized despite a waiting queue of jobs. Furthermore, the wait and response times for jobs with an exclusively space-sharing strategy can be relatively high.

Among the several approaches used to alleviate these problems with space sharing, three have been recently studied. The first is a technique called backfilling [14], which attempts to assign unutilized nodes to jobs that are behind in the priority queue (of waiting jobs), rather than keep them idle. To prevent starvation for larger jobs, (conservative) backfilling requires that a job selected out of order completes before the jobs that are ahead of it in the priority queue are scheduled to start. This approach requires the users to provide an estimate of job execution times, in addition to the number of nodes required by each job. Jobs that exceed their execution time are killed. This encourages users to overestimate the execution time of their jobs.

The second approach is to add a time-sharing dimension to space sharing using a technique called gang-scheduling or coscheduling [17]. This technique virtualizes the physical machine by slicing the time axis into multiple virtual machines. Tasks of a parallel job are coscheduled to run in the same time-slices (same virtual machines). In some cases, it may be advantageous to schedule the same job to run on multiple virtual machines (multiple time-slices). The number of virtual machines created (equal to the number of time slices) is called the multiprogramming level (MPL) of the system. This multiprogramming level in general depends on how many jobs can be executed concurrently, but is typically limited by system resources. This approach opens more opportunities for the execution of parallel jobs, and is

- *Y. Zhang is with the Department of Electrical and Computer Engineering, Rutgers, The State University of New Jersey, Piscataway, NJ 08854-8058. Email: yyzhang@ece.rutgers.edu.*
- *H. Franke and J. Moreira are with IBM T.J. Watson Research Center, P.O. Box 218, Yorktown Heights, NY 10598. Email: {frankeh, jmoreira}@us.ibm.com.*
- *A. Sivasubramaniam is with the Department of Computer Science and Engineering, The Pennsylvania State University, University Park, PA 16802. Email: anand@cse.psu.edu.*

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thus quite effective in reducing the wait time, at the expense of increasing the apparent job execution time. Gang-scheduling does not depend on estimates for job execution time. Gang-scheduling has been used in the prototype GangLL job scheduling system developed by IBM Research for the ASCI Blue-Pacific machine at LLNL (a large scale parallel system spanning thousands of nodes [16]).

The third approach is to dynamically migrate tasks of a parallel job. Migration delivers flexibility of adjusting the schedule to avoid fragmentation. Migration is particularly important when collocation in space and/or time of tasks is necessary. Collocation in space is important in some architectures to guarantee proper communication among tasks (eg., Cray T3D, CM-5, and Blue Gene). Collocation in time is important when tasks have to be running concurrently to make progress in communication (eg., gang-scheduling).

It is a logical next step to attempt to combine these approaches—gang-scheduling, backfilling, and migration—to deliver even better performance for large parallel systems. However, effectively implementing these combinations raises some challenges. For instance, a combination of backfilling and gang-scheduling requires obtaining precise estimates for job execution time under gang scheduling. This can be very difficult or perhaps even impossible. Similarly, migration incurs a cost and requires additional infrastructure. Migration costs make it more difficult to estimate execution times and decide if migration should be applied. In analyzing these combinations, we only consider systems like the IBM RS/6000 SP, that do not require spatial collocation. Therefore, we only address migration in the presence of gang-scheduling.

Progressing to combined approaches requires a careful examination of several issues related to backfilling, gang-scheduling, and migration. Using detailed simulations based on stochastic models derived from real workloads at LLNL, this paper analyzes

1. the impact of overestimating job execution times on the effectiveness of backfilling,
2. a strategy for combining gang-scheduling and backfilling,
3. the impact of migration in a gang-scheduled system, and
4. the impact of combining gang-scheduling, migration, and backfilling in one scheduling system.

We also find that overestimating job execution times does not really impact the quality of service parameters, regardless of the degree of overestimation. As a result, we can conservatively estimate the execution time of a job in a coscheduled system to be the product of multiprogramming level (MPL) and the estimated job execution time in a dedicated setting. These results help us construct a backfilling gang-scheduling system, called BGS, which fills in holes in the Ousterhout scheduling matrix [17] with jobs that are not necessarily in FCFS order. It is clearly demonstrated that, under certain conditions, this combined strategy is always better than the individual gang-scheduling or backfilling strategies for all the quality of service parameters that we consider. By combining gang-scheduling and migration, we can further improve the system performance parameters. The improvement is larger when applied to plain gang-scheduling (without backfilling), although the absolute best performance was achieved by combining all three techniques: gang-scheduling, backfilling, and migration.

The rest of this paper is organized as follows: Section 2 describes our approach to modeling parallel job workloads and obtaining performance characteristics of scheduling systems. It also characterizes our base workload quantitatively. Section 3 analyzes the impact of job execution time estimation on the overall performance from system and user perspectives. We show that relevant performance parameters are almost invariant to the accuracy of average job execution time estimation. Section 4 describes gang-scheduling, and the various phases involved in computing a time-sharing schedule. Section 5 demonstrates the significant improvements in performance that can be achieved by time-sharing techniques, when enhanced with backfilling and migration. Finally, Section 6 presents our conclusions and possible directions for future work.

2 Evaluation Methodology

Before we present our results, we first need to describe our methodology. In this section, we begin by describing how we generate synthetic workloads (drawn from realistic environments) that drive our simulator. We then present the particular characteristics of the workloads we use. Finally, we discuss the performance metrics we adopt to measure the quality of service in a parallel system.

2.1 Workload Modeling

Our modeling procedure involves the following steps:

1. First, we group the jobs into classes based on the number of processors they require to execute on. Each class is a bin in which the upper boundary is a power of 2.
2. Then, we model the interarrival time distribution for each class, and the service time distribution for each class as follows:
   a. From the job traces, we compute the first three moments of the observed interarrival time and the first three moments of the observed service time.
   b. Then, we select the Hyper Erlang Distribution of Common Order that fits these three observed moments.

Next, we generate various synthetic workloads from the observed workload by varying the interarrival rate and service time used. The Hyper Erlang parameters for these synthetic workloads are obtained by multiplying the interarrival rate and the service time each by a separate multiplicative factor, and by specifying the number of jobs to generate. From these model parameters, synthetic job traces are obtained using the procedure described in [12]. Finally, we simulate the effects of these synthetic workloads and observe the results.

Within a workload trace, each job is described by its arrival time, the number of nodes it uses, its execution time on a dedicated system, and an overestimation factor.

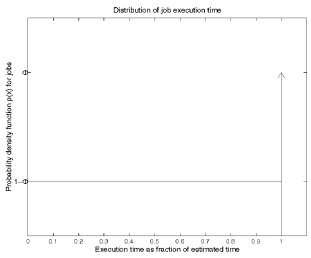


Fig. 1. The Φ model for overestimation.

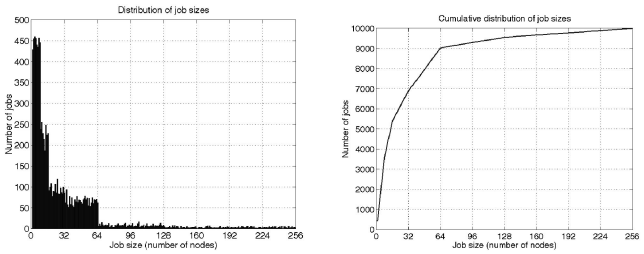
Backfilling strategies require an estimate of the job execution time. In a typical system, it is up to each user to provide these estimates. This estimated execution time is always greater than or equal to the actual execution time since jobs are terminated after reaching this limit. We capture this discrepancy between estimated and actual execution times for parallel jobs through an *overestimation factor*. The overestimation factor for each job is the ratio between its estimated and actual execution times. During simulation, the estimated execution time is used exclusively for performing job scheduling, while the actual execution time is only used to define the job finish event. In this paper, we consider the model for describing the distribution of estimated execution times as provided by the user.

We call the model Φ model [6], wherein Φ is the fraction of jobs that terminate at exactly the estimated time. This typically corresponds to jobs that are killed by the system because they reach the limit of their allocated time. The rest of the jobs $(1 - \Phi)$ finish before the estimated (allocated) time. The model assumes that its actual execution can vary anywhere between zero and the estimated time (following a uniform distribution). This is shown in Fig. 1. To obtain the desired distribution for execution times in the Φ model, in our simulations we compute the overestimation factor as follows: Let y be a uniformly distributed random number in the range $0 \leq y < 1$. If $y < \Phi$, then the overestimation factor is 1 (i.e., estimated time = execution time). If $y \geq \Phi$, then the overestimation factor is $(1 - \Phi)/(1 - y)$.

2.2 Workload Characteristics

The baseline workload is the synthetic workload generated from the parameters directly extracted from the actual ASCI Blue-Pacific workload. It consists of 10,000 jobs, varying in size from 1 to 256 nodes, in a system with a total of 320 nodes. Some characteristics of this workload are shown in Figs. 2 and 3. Fig. 2 reports the distribution of job sizes (number of nodes). Fig. 3 reports the distribution of total CPU time, defined as the product of job execution time in a dedicated setting (when there are no other jobs) and the number of nodes it requires.

In addition to the baseline workload of Figs. 2 and 3, we generate eight additional workloads, of 10,000 jobs each, by varying the model parameters so as to increase average job execution time. More specifically, we generate the nine different workloads by multiplying the average job execution time by a factor from 1.0 to 1.8 in steps of 0.1. For a fixed interarrival time, increasing job execution time typically increases utilization, until the system saturates.



(a) (b)

Fig. 2. Workload characteristics: distribution of job sizes.

2.3 Performance Metrics

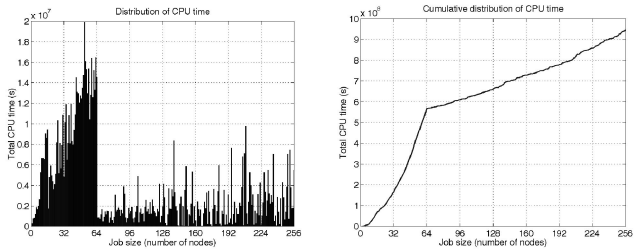
The synthetic workloads generated as described in Section 2.1 are used as input to our event-driven simulator of various scheduling strategies. We simulate a system with 320 nodes, and we monitor the following parameters:

- t_i^a: arrival time for job i.
- t_i^s: start time for job i.
- t_i^e: execution time for job i (in a dedicated setting).
- t_i^f: finish time for job i.
- n_i: number of nodes used by job i.

From these we compute:

- $t_i^r = t_i^f - t_i^a$: response time for job i.
- $t_i^w = t_i^s - t_i^a$: wait time for job i.
- $s_i = \frac{\max(t_i^r, \Gamma)}{\max(t_i^e, \Gamma)}$: the slowdown for job i. To reduce the statistical impact of very short jobs, it is common practice [5], [6] to adopt a minimum execution time of Γ seconds. This is the reason for the $\max(\cdot, \Gamma)$ terms in the definition of slowdown. According to the literature [6], we use $\Gamma = 10$ seconds.

To report quality of service figures from a user's perspective, we use the average job slowdown and average job wait time. Job slowdown measures how much slower than a dedicated machine the system appears to the users, which is relevant to both interactive and batch jobs. Job wait time measures how long a job takes to start execution and, therefore. it is an important measure for interactive jobs. In addition to objective measures of quality of service, we also use these averages to characterize the fairness of a scheduling strategy. We evaluate fairness by comparing average and standard deviation of slowdown and wait time



(a) (b)

Fig. 3. Workload characteristics: distribution of CPU time.

for small jobs, large jobs, and all jobs combined. As discussed in Section 2.2, large jobs are those that use more than 32 nodes, while small jobs use 32 or fewer nodes.

We measure quality of service from the system's perspective with two parameters: utilization and capacity loss. Utilization is the fraction of total system resources that are actually used during the execution of a workload. Let the system have N nodes and execute m jobs, where job m is the last job to finish execution. Also, let the first job arrive at time $t = 0$. Utilization is then defined as

$$\rho = \frac{\sum_{i=1}^{m} n_i t_i^e}{N \times t_m^f} \quad (1)$$

A system incurs loss of capacity when 1) it has jobs waiting in the queue to execute and 2) it has empty nodes (either physical or virtual) but, because of fragmentation, it still cannot execute those waiting jobs. Before we can define loss of capacity, we need to introduce some more concepts. A *scheduling event* takes place whenever a new job arrives or an executing job terminates. By definition, there are $2m$ scheduling events, occurring at times ψ_i, for $i = 1, \ldots, 2m$. Let e_i be the number of nodes left empty between scheduling events i and $i+1$. Finally, let δ_i be 1 if there are any jobs waiting in the queue after scheduling event i, and 0 otherwise. Loss of capacity in a purely space-shared system is then defined as

$$\kappa = \frac{\sum_{i=1}^{2m-1} e_i(\psi_{i+1} - \psi_i)\delta_i}{t_m^f \times N} \quad (2)$$

To compute the loss of capacity in a gang-scheduling system, we have to keep track of what happens in each time-slice. Let s_i be the number of time slices between scheduling event i and scheduling event $i+1$. We can then define

$$\kappa = \frac{\sum_{i=1}^{2m-1} [e_i(\psi_{i+1} - \psi_i) + T \times CS \times s_i \times n_i]\delta_i}{t_m^f \times N}, \quad (3)$$

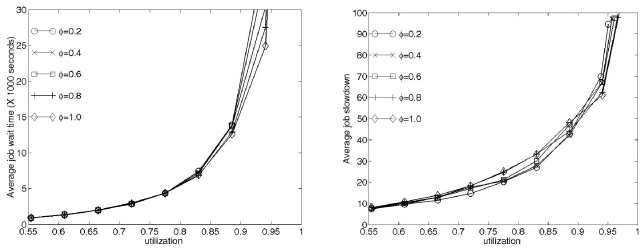
where

- T is the duration of one row in the matrix,
- CS is the context-switch overhead (as a fraction of T),
- n_i is the number of occupied nodes between scheduling events i and $i+1$, more specifically, $n_i + e_i = N$.

A system is in a saturated state when increasing the load does not result in an increase in utilization. At this point, the loss of capacity is equal to one minus the maximum achievable utilization. More specifically, $\kappa = 1 - \rho$.

3 The Impact of Overestimation on Backfilling

A common perception with backfilling is that one needs a fairly accurate estimation of job execution time to perform good backfilling scheduling. Users typically provide an estimate of job execution time when jobs are submitted. However, it has been shown in the literature [6] that there is little correlation between estimated and actual execution times. Since jobs are killed when the estimated time is reached, users have an incentive to overestimate the execution time.



(a) (b)

Fig. 4. Average job slowdown and wait time for backfilling under Φ model of overestimation.

We conducted a study of the effect of overestimation on the performance of backfilling schedulers using an FCFS prioritization policy. The results are summarized in Fig. 4. Figs. 4a and 4b plot average job slow down and average job wait time, respectively, as a function of system utilization for different values of Φ. We observe very little impact of overestimation.

We can explain why backfilling is not that sensitive to the estimated execution time by the following reasoning:

1. When the load is low, the estimation does not really matter, since backfilling is not really performed that often. There are not that many jobs waiting, as indicated by the low waiting time.
2. Backfilling has more effect when the load is higher. On average, overestimation impacts both the jobs that are running and the jobs that are waiting. The scheduler computes a later finish time for the running jobs, creating larger holes in the schedule. The larger holes can then be used to accommodate waiting jobs that have overestimated execution times. The probability of finding a backfilling candidate effectively does not change significantly with the overestimation as a result.

Even though the average job behavior is insensitive to the average degree of overestimation, individual jobs can be affected. To verify that, we group the jobs into 10 classes based on how close is their estimated time to their actual execution time. More specifically, class i, $i = 0, \ldots, 9$ includes all those jobs for which their ratio of execution time to estimated time falls in the range $(i \times 10\%, (i+1) \times 10\%]$. Fig. 5 shows the average job wait time for 1) all jobs, 2) jobs in class 0 (worst estimators) and 3) jobs in class 9 (best estimators), when $\Phi = 0.2$. We observe that those users that provide good estimates are rewarded with a lower average wait time. The conclusion is that the "quality" of an estimation is not really defined by how close it is to the actual execution time, but by how much better it is compared to the average estimation. Users do get a benefit, and therefore an encouragement, from providing good estimates.

Our findings are in agreement with the work described in [22]. In that paper, the authors describe mechanisms to more accurately predict job execution times, based on historical data. They find that more accurate estimates of job execution time leads to more accurate estimates of wait time. However, the accuracy of execution time prediction has minimal effect on system parameters, such

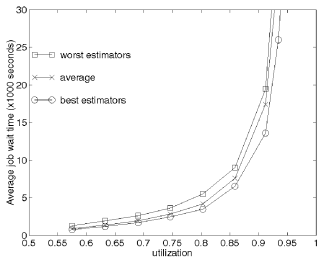


Fig. 5. The impact of good estimation from a user perspective for the Φ model of overestimation.

	P_0	P_1	P_2	P_3	P_4	P_5	P_6	P_7
time-slice 0	J_1^0	J_1^1	J_1^2	J_1^3	J_1^4	J_1^5	J_1^6	J_1^7
time-slice 1	J_2^0	J_2^1	J_2^2	J_2^3	J_2^4	J_2^5	J_2^6	J_2^7
time-slice 2	J_3^0	J_3^1	J_3^2	J_3^3	J_4^0	J_4^1	J_5^0	J_5^1
time-slice 3	J_6^0	J_6^1	J_6^2	J_6^3	J_4^0	J_4^1	J_5^0	J_5^1

Fig. 6. The scheduling matrix defines spatial and time allocation.

as utilization. The authors do observe an improvement in average job wait time, for a particular Argonne National Laboratory workload, when using their predictors instead of previously published work [2], [9].

4 Gang-Scheduling

In the previous sections, we only considered space-sharing scheduling strategies. An extra degree of flexibility in scheduling parallel jobs is to share the machine resources not only spatially but also temporally by partitioning the time axis into multiple time slices [3], [4], [8], [11], [23]. As an example, time-sharing an 8-processor system with a multiprogramming level of four is shown in Fig. 6. The figure shows the scheduling matrix (also called the *Ousterhout matrix*) that defines the tasks executing on each processor and each time-slice. J_i^j represents the jth task of job J_i. The matrix is cyclic in that time-slice 3 is followed by time-slice 0. One cycle through all the rows of the matrix defines a *scheduling cycle.* Each row of the matrix defines an 8-processor virtual machine, which runs at $1/4$th of the speed of the physical machine. We use these four virtual machines to run two 8-way parallel jobs (J_1 and J_2) and several smaller jobs (J_3, J_4, J_5, J_6). All tasks of a parallel job are always coscheduled to run concurrently. This approach gives each job the impression that it is still running on a dedicated, albeit slower, machine. This type of scheduling is commonly called *gang-scheduling* [3]. Note that some jobs can appear in multiple rows (such as jobs J_4 and J_5).

4.1 Considerations in Building a Scheduling Matrix

Creating one more virtual machine for the execution of a new 8-way job in the case of Fig. 6 requires, in principle, only adding one more row to the Ousterhout matrix. Obviously, things are not so simple. There is a cost associated with time-sharing, due mostly to: 1) the cost of the context-switches themselves, 2) additional memory pressure created by multiple jobs sharing nodes, and 3) additional swap space pressure caused by more jobs executing concurrently. For that reason, the degree of time-sharing is usually limited by a parameter that we call, in analogy to uniprocessor systems, the multiprogramming level (MPL). A gang-scheduling system with multiprogramming level of 1 reverts back to a space-sharing system.

In our particular implementation of gang-scheduling, we operate under the following conditions:

1. Multiprogramming levels are kept at modest levels, in order to guarantee that the images of all tasks in a node remain in core. This eliminates paging and significantly reduces the cost of context switching. Furthermore, the time slices are sized so that the cost of the resulting context switches are relatively small.
2. Assignments of tasks to processors are static. That is, once spatial scheduling is performed for the tasks of a parallel job, they cannot migrate to other nodes. (migration is considered later in Section 5.4.)
3. When building the scheduling matrix, we first attempt to schedule as many jobs for execution as possible, constrained by the physical number of processors and the multiprogramming level. Only after that we attempt to *expand* a job, by making it occupy multiple rows of the matrix. (See jobs J_4 and J_5 in Fig. 6.) Our results demonstrate that this approach yields better performance than trying to fill the matrix with already running jobs.
4. For a particular instance of the Ousterhout matrix, each job has an assigned *home row*. Even if a job appears in multiple rows, one and only one of them is the home row. The home row of a job can change during its life time, when the matrix is recomputed. The purpose of the home row is described in Section 4.2.

4.2 The Phases of Scheduling

Every job arrival or departure constitutes a *scheduling event* in the system. For each scheduling event, a new scheduling matrix is computed for the system. Even though we analyze various scheduling strategies in this paper, they all follow an overall organization for computing that matrix, which can be divided into the following steps:

1. **CleanMatrix:** The first phase of a scheduler removes every instance of a job in the Ousterhout matrix that is not at its assigned home row. Removing duplicates across rows effectively opens the opportunity of selecting other waiting jobs for execution.
2. **CompactMatrix:** This phase moves jobs from less populated rows to more populated rows. It further increases the availability of free slots within a single row to maximize the chances of scheduling a large job.
3. **Schedule:** This phase attempts to schedule new jobs. We traverse the queue of waiting jobs as dictated by the given priority policy until no further jobs can be fitted into the scheduling matrix.
4. **FillMatrix:** This phase tries to fill existing holes in the matrix by replicating jobs from their home rows into a set of replicated rows. This operation is essentially the opposite of **CleanMatrix**.

The exact procedure for each step is dependent on the exact scheduling strategy and the details will be presented as we discuss each strategy.

Orthogonal to the strategy, there is also the issue of the latency of the scheduler. One option is to always invoke the scheduler exactly at the time of a job arrival or departure. In that case, a new schedule is computed and takes effect immediately. In other words, the current time slice is cut short. Alternatively, the schedule is only invoked at discrete times. That is, at the predetermined context switch time at the end of every time slice. In this case, every time slice runs to completion. The advantage of the first approach is that empty slots can be immediately utilized by an arriving job, or when a job departs, the remaining jobs can use the new free slots. These factors can reduce capacity loss. The disadvantage of this approach is that when the job arrival and departure is too high the system can go into a thrashing mode because context switches are more frequent. We conducted an experiment to compare the continuous and discrete approaches and found the former to perform better (see Section 5.3). Consequently, we use, continuous model for most of the experiments.

5 Scheduling Strategies

We now describe and analyze in detail the various time-shared scheduling strategies in our work. We start with plain gang-scheduling (GS), as described in Section 4. We augment it with backfilling capabilities to produce our backfilling gang-scheduling (BGS) strategy. We also analyze what happens when migration is added to gang-scheduling, thus creating the migration gang-scheduling (MGS) strategy. Finally, we combine both enhancing techniques (backfilling and migration) into the migration backfilling gang-scheduling (MBGS) strategy.

When analyzing the performance of the time-shared strategies, we have to take into account the context-switch overhead. In the RS/6000 SP, context switch overhead includes the protocol for detaching and attaching to the communication device. It also includes the operations to stop and continue user processes. When the working set of time-sharing jobs is larger than the physical memory of the machine, context switch should also include the time to page in the working set of the resuming job. For our analysis, we characterize context switch overhead as a percentage of time slice. Typical context switch overhead values are from 0 to 5 percent of time slice.

5.1 Gang-Scheduling (GS)

The first scheduling strategy we analyze is plain gang-scheduling (GS). This strategy is described in Section 4. For gang-scheduling, we implement the four scheduling steps of Section 4.2 as follows:

A.0.a Clean Matrix: The implementation of CleanMatrix is best illustrated with the following algorithm:

```
for i = first row to last row
    for all jobs in row i
        if row i is not home of job, remove it
```

It eliminates all occurrences of a job in the scheduling matrix other than the one in its home row.

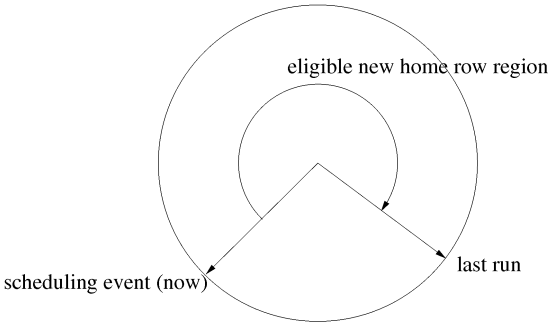


Fig. 7. The clock algorithm.

A.0.b CompactMatrix: We implement the CompactMatrix step in gang-scheduling according to the following algorithm:

```
do{
    for i = least populated row to most populated row
        for j = most populated row to least populated row
            for all jobs in row i
                if they can be moved to row j,
                    then move and break
}while matrix changes
```

We traverse the scheduling matrix from the least populated row to the most populated row. We attempt to find new homes for the jobs in each row. The goal is to pack as many jobs in as few rows as possible.

To move a job to a different row under gang-scheduling, the following conditions must be satisfied:

1. The destination columns, which are the same as the source columns for the job, must be empty. (In plain gang-scheduling, migration is not considered.)
2. The job must make progress. That is, we must ensure that moving the job will not prevent it from executing for at least one time-slice in one scheduling cycle. This must be enforced to prevent starvation of jobs.

To guarantee progress of jobs, we adopt the following straightforward algorithm for deciding where it is legal to move jobs. We call it the *clock algorithm*, which is illustrated in Fig. 7. The algorithm works as follows: Each scheduling cycle corresponds to one turn of the clock. Each scheduling event corresponds to one particular time in the clock. The last time a job was run also corresponds to a particular time. A job can only be moved *ahead*, i.e., to any time between now and the time corresponding to its last run. Once a job is moved to a different row, that becomes its new home row. (A job can appear in multiple rows of the matrix. Therefore, the time of last run could be later than the old home row, providing more scope for moving jobs.)

A.0.c Schedule: The Schedule phase for gang-scheduling traverses the waiting queue in FCFS order. For each job, it looks for the row with the least number of free slots in the scheduling matrix that has enough free columns to hold the job. This corresponds to a best fit algorithm. The row to which the job is assigned becomes its home row. We stop

when the next job in the queue cannot be scheduled right away.

A.0.d FillMatrix: After the schedule phase completes, we proceed to fill the holes in the matrix with the existing jobs. We use the following algorithm in executing the FillMatrix phase.

```
do {
    for each job in starting time order
        for all rows in matrix,
            if job can be replicated in same columns
                do it and break
} while matrix changes
```

The algorithm attempts to replicate each job at least once, although some jobs can be replicated multiple times. We go through the job in starting time order, but other ordering policies can be applied.

5.2 Backfilling Gang-Scheduling (BGS)

Gang-scheduling and backfilling are two optimization techniques that operate on orthogonal axes, space for backfilling and time for gang scheduling. It is tempting to combine both techniques in one scheduling system that we call *backfilling gang-scheduling* (BGS). In principle, this can be done by treating each of the virtual machines created by gang-scheduling as a target for backfilling. The difficulty arises in estimating the execution time for parallel jobs. In the example of Fig. 6, jobs J_4 and J_5 execute at a rate twice as fast as the other jobs since they appear in two rows of the matrix. This, however, can change during the execution of the jobs, as new jobs arrive, and executing jobs terminate.

Fortunately, as we have shown in Section 3, even significant average overestimation of job execution time has little impact on average performance. Therefore, it is reasonable to attempt to use a worst case scenario when estimating the execution time of parallel jobs under gang-scheduling. We take the simple approach of computing the estimated time under gang-scheduling as the product of the estimated time on a dedicated machine and the multiprogramming level (since each job is guaranteed to get at least 1 time slice in each cycle).

In backfilling gang-scheduling, each job is assigned a guaranteed starting time based on the predicted execution times of the current jobs. Each job is guaranteed to be scheduled by this time. Also, each waiting job has a reservation of resources. The reservation corresponds to a particular time in a particular row of the matrix.

The issue of reservations impact both the CompactMatrix and Schedule phases. When moving jobs in CompactMatrix, we must make sure that the moved job does not conflict with any reservations in the destination row. In the Schedule phase, we first attempt to schedule each job in the waiting queue, making sure that its execution does not violate any reservations. If we cannot start a job, we compute the future start time for that job in each row of the matrix. We select the row with the lowest starting time, and make a reservation for that job in that row. This new reservation could be different from the previous reservation of the job, but it has to be lower than the guaranteed starting time of that job. The reservations do not impact the FillMatrix phase since the assignments in this phase are temporary and the matrix gets cleaned in the next scheduling event.

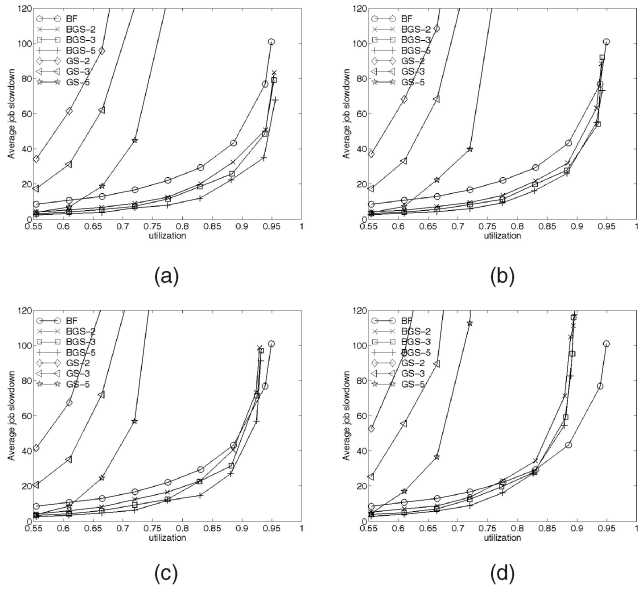


Fig. 8. Average job slowdown for four different values of context switch overhead: (a) 0 percent, (b) 1 percent, (c) 2 percent, and (d) 5 percent of the time slice.

5.3 Comparing GS, BGS, and BF

We compare three different scheduling strategies, with a total of seven configurations. They all use FCFS as the prioritization policy. The first strategy is a space-sharing policy that uses backfilling to enhance the performance parameters. We identify this strategy as BF. We also use three variations of the gang-scheduling strategy, with multiprogramming levels 2, 3, and 5. These configurations are identified by GS-2, GS-3, and GS-5, respectively. Finally, we consider three configurations of the backfilling gang-scheduling strategy. That is, backfilling is applied to each virtual machine created by gang-scheduling. These are referred to as BGS-2, BGS-3, and BGS-5, for MPL 2, 3, and 5. The results presented here are based on the Φ-model, with $\Phi = 0.2$.

We use the performance parameters described in Section 2.3, namely, 1) average slow down, 2) average wait time, and 3) average loss of capacity, to compare the strategies.

Fig. 8 shows the average job slow down for all seven configurations. Each plot (Figs. 8a, 8b, 8c, and 8d) is for a different value of context switch overhead. We observe that regular gang scheduling (GS strategies) results in very high slow downs, even at low or moderate (less than $\rho = 0.75$) utilizations. BF always performs better than GS-2 and GS-3. It also performs better than GS-5 when utilization is greater than 0.65. The combined approach (BGS) is always better than its individual components (BF and GS with corresponding multiprogramming level). The improvement in average slow down is monotonic with the multiprogramming level. For instance, if we choose a maximum acceptable slow down of 20, the resulting maximum utilization is $\rho = 0.67$ for GS-5, $\rho = 0.76$ for BF, and $\rho = 0.82$ for BGS-2. That last result represents an improvement of 20 percent over GS-5 with a

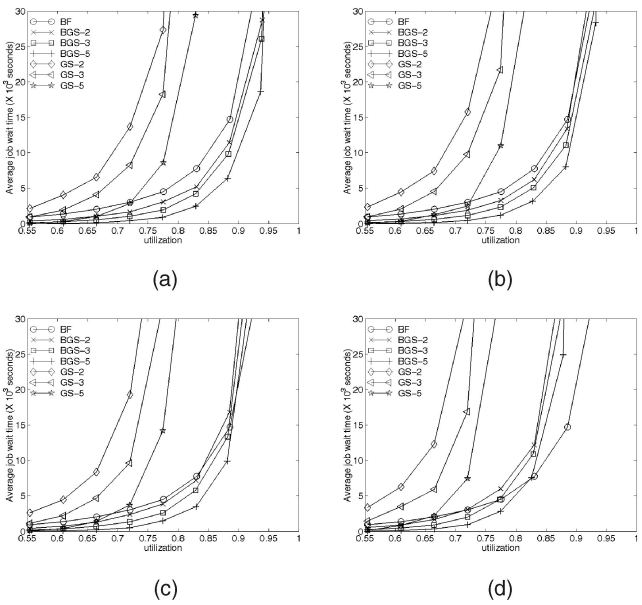


Fig. 9. Average job wait times for four different values of context switch overhead: (a) 0 percent, (b) 1 percent, (c) 2 percent, and (d) 5 percent of time slice.

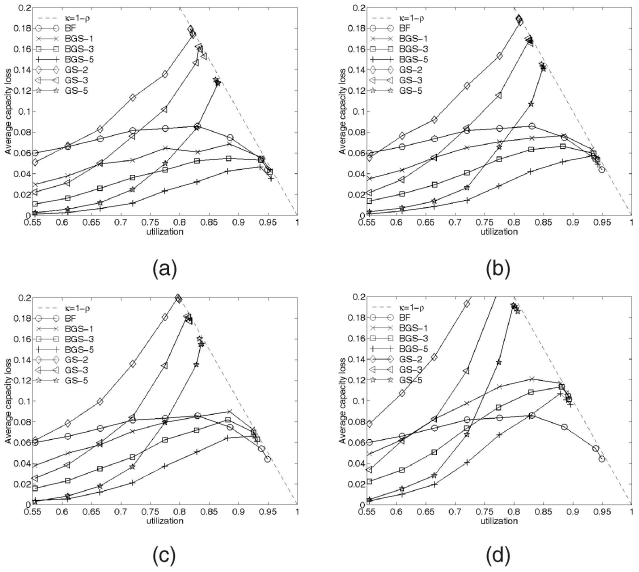


Fig. 10. Loss of capacity for four different values of context switch overhead: (a) 0 percent, (b) 1 percent, (c) 2 percent, and (d) 5 percent of times lice.

much smaller multiprogramming level. With BGS-5, we can drive utilization as high as $\rho = 0.87$.

Fig. 9 shows the average job wait time for all our seven configurations. We observe that regular gang-scheduling (GS strategies) results in very high wait times, even at low or moderate (less than $\rho = 0.75$) utilizations. Even with 0 percent context switching overhead, saturation takes place at $\rho = 0.84$ for GS-5 and at $\rho = 0.79$ for GS-3. At 5 percent overhead, the saturations occur at $\rho = 0.73$ and $\rho = 0.75$ for GS-3 and GS-5, respectively. Backfilling performs better than gang-scheduling with respect to wait time for utilizations above $\rho = 0.72$. It saturates at $\rho = 0.95$. Again, the combined approach (BGS) is always better than its individual components (BF and GS with corresponding multiprogramming level) for a zero context switch overhead. The improvement in average job wait time is monotonic with the multiprogramming level.

At all combinations of context switch overhead and utilization, BGS outperforms GS with the same MPL. BGS also outperforms BF at low context switch overheads (0 or 1 percent). Even at context switch overhead of 2 or 5 percent, BGS has significantly better slowdown than BF in an important operating range. For context switch overhead of 5 percent, BGS-5 is superior to BF only up to $\rho = 0.83$. Therefore, we have two options in designing the scheduler system: we either keep the context switch overhead low enough (as a percentage of time quantum) that BGS is always better than BF, or we use an adaptive scheduler that switches between BF and BGS depending on the utilization of the system.

Whereas, Figs. 8 and 9 illustrate performance from a user's perspective, we now turn our attention to the system's perspective. Fig. 10 is a plot of the average capacity loss as a function of utilization for all our seven strategies. By definition, all strategies saturate at the line $\kappa = 1 - \rho$, which is indicated by the dashed line in Fig. 10. Again, the combined policy consistently delivers better results than the pure backfilling and gang scheduling (of equal MPL) policies. The improvement is also monotonic with the multiprogramming level. However, all backfilling based policies (pure or combined) saturate at essentially the same point. Loss of capacity comes from holes in the scheduling matrix. The ability to fill those holes actually improves when the load is very high. We observe that the capacity loss for BF actually starts to decrease once utilization goes beyond $\rho = 0.83$. At very high loads ($\rho > 0.95$) there are almost always small jobs to backfill arising holes in the schedule. Looking purely from a system's perspective, we note that pure gang-scheduling can only be driven to utilization between $\rho = 0.82$ and $\rho = 0.87$, for multiprogramming levels 2 through 5. On the other hand, the backfilling strategies can be driven to up to $\rho = 0.95$ utilization.

To summarize our observations, we have shown that the combined strategy of backfilling with gang-scheduling (BGS) consistently outperforms the other strategies (backfilling and gang-scheduling separately) from the perspectives of responsiveness, slow down, and utilization.

5.4 Migration Gang-Scheduling (MGS)

We now analyze how gang-scheduling can be improved through the addition of migration capabilities. The process of migration embodies moving a job to any row in which there are enough free processors to execute that job (not just on the same columns). There are basically two options each time we attempt to migrate a job A from a source row r to a target row p (in either case, row p must have enough nodes free):

- *Option 1*: We migrate the jobs in row p that execute on the CPUs where the processes of A reside, to make space for A in row p. This is shown pictorially in Fig. 11, where three processes of job J in row 2 occupy the same columns as job A in row 1. Job J is migrated to four other processes in the same row and job A is replicated in this row. Consequently, when we move from row 1 to row 2 in the scheduling cycle, job A does not need to be migrated (one-time effort).

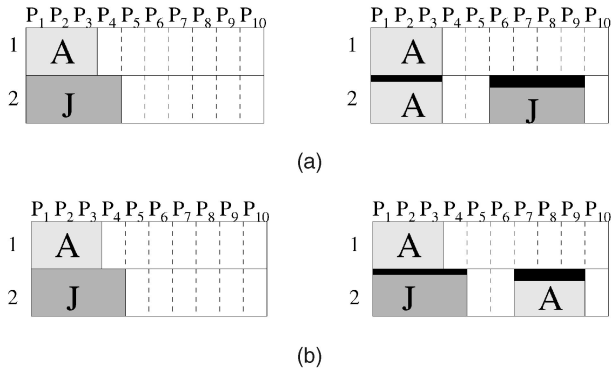


Fig. 11. The two migration options. (a) Migration option 1. (b) Migration option 2.

- *Option 2*: Instead of migrating job J to make space for A, we can directly migrate job A to those slots in row p that are free. This approach lets other jobs in row p proceed without migration, but the down side is that, each time we come to row p, job A incurs migration costs (recurring). This is again shown pictorially in Fig. 11.

We can quantify the cost of each of these two options based on the following model. For the distributed system we target, namely the IBM RS/6000 SP, migration can be accomplished with a checkpoint/restart operation. (Although it is possible to take a more efficient approach of directly migrating processes across nodes [1], [18], [19], we choose not to take this route.) Let $S(A)$ be the set of jobs in target row p that overlap with the nodes of job A in source row r. Let C be the total cost of migrating one job, including the checkpoint and restart operations. We consider the case in which 1) checkpoint and restart have the same cost $C/2$, 2) the cost C is independent of the job size, 3) checkpoint and restart are dependent operations (i.e., you have to finish checkpoint before you can restart), and 4) checkpoint/restart operations are performed at the beginning of the time quantum whenever needed. During the migration process, nodes participating in the migration cannot make progress in executing a job. The total amount of resources (processor $\times$ time) wasted during this process is the overhead for the migration operation.

The overhead for option 1 is

$$\left(\frac{C}{2}\times|A|+C\times\sum_{J\in S(A)}|J|\right), \tag{4}$$

where $|A|$ and $|J|$ denote the number of tasks in jobs A and J, respectively.

The overhead for option 2 is estimated by

$$\left(C\times|A|+\frac{C}{2}\times\sum_{J\in S(A)}|J|\right). \tag{5}$$

The first use of migration is during the compact phase, in which we consider migrating a job when moving it to a different row. During the compact phase, both migration options discussed above are considered, and we choose the one with smaller cost.

We also apply migration during the expansion phase. During the expansion phase, only migration option 1 is considered (since option 2 implies migration during each scheduling cycle). As a result, a job can appear in multiple rows of the matrix, but it must occupy the same set of processors in all the rows.

As discussed, migration in the IBM RS/6000 SP requires a checkpoint/restart operation. Although all tasks can perform a checkpoint in parallel, resulting in a C that is independent of job size, there is a limit to the capacity and bandwidth that the file system can accept. Therefore, we introduce a parameter Q that controls the maximum number of tasks that can be migrated in any time-slice.

When migration is used, the scheduling proceeds along the following steps:

step	reason
ClearMatrix	Maximize holes
CompactMatrix-1	Compaction without migration
Schedule-1	Accommodate new jobs after compaction
CompactMatrix-2	Compaction with migration
Schedule-2	Accommodate new jobs in holes created after migration
FillMatrix-1	Replicate jobs in different holes without migration
FillMatrix-2	Replicate jobs with migration option 1

The ordering results in applying optimizations without incurring unnecessary costs. We first attempt to optimize without migration (CompactMatrix-1,Schedule-1). After Schedule-1, we then attempt to compact with migration (CompactMatrix-2) and repeat scheduling (Schedule-2) to accommodate new jobs. After we are done accommodating new jobs, we do FillMatrix-1 first because it does not incur migration costs. Then, we try FillMatrix-2 with migration.

5.5 Migration Backfilling Gang-Scheduling (MBGS)

Just as we augmented plain gang-scheduling (GS) with migration, the same can be done with backfilling gang-scheduling (BGS). This creates the migration backfilling gang-scheduling (MBGS). The differences between MGS and MBGS are in the CompactMatrix and Schedule steps. MBGS use the same scheduling as BGS, that is, backfilling is performed in each row of the matrix, and reservations are created for jobs that cannot be immediately scheduled. When compacting the matrix, MBGS must make sure that reservations are not violated.

5.6 Comparing GS, BGS, MGS, and MBGS

Table 1 summarizes some of the results from migration applied to gang-scheduling and backfilling gang-scheduling. For each of the nine workloads (numbered from 0 to 8), we present achieved utilization (ρ) and average job slowdown (s) for four different scheduling policies:

1. backfilling gang-scheduling without migration (BGS),
2. backfilling gang-scheduling with migration (MBGS),
3. gang-scheduling without migration (GS), and

TABLE 1
Percentage Improvements from Migration

work load	backfilling gang-scheduling					gang-scheduling				
	BGS		MBGS		% s	GS		MGS		% s
	ρ	s	ρ	s	better	ρ	s	ρ	s	better
0	0.55	2.5	0.55	2.1	19.2%	0.55	3.9	0.55	2.6	33.7%
1	0.61	3.2	0.61	2.5	23.9%	0.61	7.0	0.61	4.0	42.5%
2	0.66	3.8	0.66	2.9	24.8%	0.66	18.8	0.66	6.9	63.4%
3	0.72	6.5	0.72	3.7	43.1%	0.72	44.8	0.72	13.5	69.9%
4	0.77	8.0	0.77	5.1	36.6%	0.78	125.6	0.77	29.4	76.6%
5	0.83	11.9	0.83	7.6	36.2%	0.83	405.6	0.83	54.4	86.6%
6	0.89	22.4	0.88	11.0	50.8%	0.86	1738.0	0.88	134.2	92.3%
7	0.94	34.9	0.94	20.9	40.2%	0.86	4147.7	0.94	399.3	90.4%
8	0.96	67.9	0.98	56.8	16.4%	0.86	5941.5	0.97	1609.9	72.9%

4. gang-scheduling with migration (MGS).

We also show the percentage improvement in job slowdown from applying migration to gang-scheduling and backfilling gang-scheduling. Those results are from the best case for each policy: 0 cost and unrestricted number of migrated tasks with an MPL of 5.

We can see an improvement from the use of migration throughout the range of workloads, for both gang-scheduling and backfilling gang-scheduling. We also note that the improvement is larger for mid-to-high utilizations between 70 and 90 percent. Improvements for low utilization are less because the system is not fully stressed, and the matrix is relatively empty. At very high loads, the matrix is already very full and migration accomplishes less than at mid-range utilizations. Improvements for backfilling gang-scheduling are not as impressive as for gang-scheduling. Backfilling gang-scheduling already does a better job of filling holes in the matrix and, therefore, the potential benefit from migration is less. With backfilling gang-scheduling the best improvement is 50 percent at a utilization of $\rho = 0.89$, whereas with gang-scheduling we observe benefits as high as 92 percent, at utilization of $\rho = 0.88$.

We note that the maximum utilization with gang-scheduling increases from 86 percent without migration to 94 percent with migration. Maximum utilization for backfilling gang-scheduling increases from 96 to 98 percent with migration. Migration is a mechanism that significantly improves the performance of gang-scheduling without the need for job execution time estimates. However, it is not as effective as backfilling in improving plain gang-scheduling. The combination of backfilling and migration results in the best overall gang-scheduling system.

Fig. 12 shows average job slowdown and average job wait time as a function of the parameter Q, the maximum number of tasks that can be migrated in any time slice. We consider two representative workloads, 2 and 5, since they define the bounds of the operating range of interest. We note that migration can significantly improve the performance of gang-scheduling even with as little as 64 tasks migrated. (Note that the case without migration is represented by the parameter $Q = 0$ for number of migrated tasks.) We also observe a monotonic improvement in slowdown and wait time with the number of migrated tasks, for both gang-scheduling and backfilling gang-scheduling. Even with migration costs as high as 30 seconds, or 15 percent of the time slice, we still observe benefit from migration. Most of the benefit of migration is accomplished at $Q = 64$ migrated tasks, and we choose that value for further comparisons. Finally, we note that the behaviors of wait time and slowdown follow approximately the same trends. Thus, for the next analysis, we focus on slowdown.

Fig. 13 compares loss of capacity, slowdown, and wait time for all four time-sharing strategies: GS, BGS, MGS,

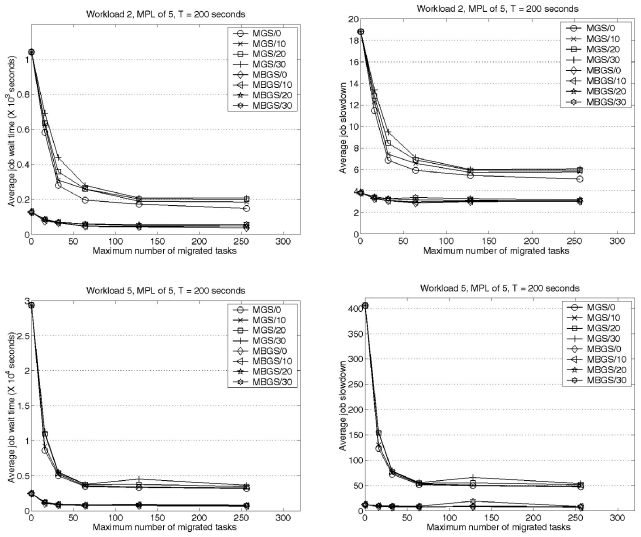


Fig. 12. Slowdown and wait time as a function of number of migrated tasks. Each line is for different migration cost.

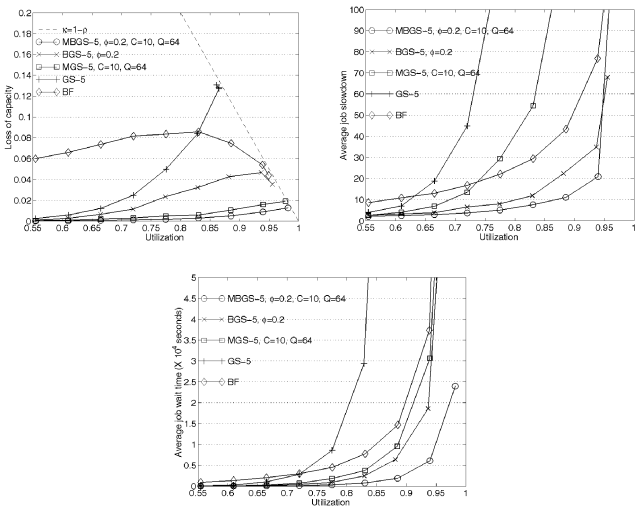


Fig. 13. Slowdown and wait time as a function of utilization for GS, MGS, BGS, and MBGS.

and MBGS. Results shown are for MPL of 5, $\Phi = 0.2$, and (for MGS and MBGS) a migration cost of 10 seconds (5 percent of the time-slice). We observe that MBGS is always better than the other strategies, for all three performance parameters and across the spectrum of utilization. Correspondingly, GS is always worse than the other strategies. The relative behavior of BGS and MGS deserves a more detailed discussion.

With respect to loss of capacity, MGS is consistently better than BGS. MGS can drive utilization up to 98 percent, while BGS saturates at 96 percent. With respect to wait time, BGS is consistently better than MGS. Quantitatively, the wait time with MGS is 50-100 percent larger than with BGS throughout the range of utilizations. With respect to slowdown, we observe that BGS is always better than MGS and that the difference increases with utilization. For workload 5, the difference is as high as a factor of 5. At first, it is not intuitive that BGS can be so much better than MGS in the light of the loss of capacity and wait time results. The explanation is that BGS favors short-running jobs when backfilling, thus reducing the average job slowdown. To verify that, we further investigated the behavior of MGS and BGS in two different classes of jobs: one class is comprised of the jobs with running time shorter than the median (680 seconds) and the other class of jobs with running time longer than or equal to the median. For the shorter jobs, slowdown with BGS and MGS are 18.9 and 104.8, respectively. On the other hand, for the longer jobs, slowdown with BGS and MGS are 4.8 and 4.1, respectively. These results confirm that BGS favors short running jobs. We note that the penalty for longer jobs in BGS (as compared to MGS) is very small, whereas the benefit for shorter jobs is quite significant.

We emphasize that the strategy that combines all techniques (gang-scheduling, backfilling, and migration), that is, MBGS provides the best results. In particular, it can drive utilization higher than MGS, and achieves better slow down and wait times than BGS. Quantitatively, wait times with MBGS are two to three times shorter than with BGS, and slowdown is 1.5 to two times smaller.

6 Conclusions

This paper has reviewed several techniques we developed to enhance job scheduling for large parallel systems. We started with an analysis of two commonly used strategies: backfilling and gang-scheduling. As the first contribution of this paper, we showed how the two could be combined into a backfilling gang-scheduling (BGS) strategy that is always superior to its two components when the context switch overhead (as a percentage of time quantum) is kept low. With BGS, we observe a monotonic improvement in job slowdown, job wait time, and maximum system utilization with the multiprogramming level. We have also demonstrated the importance of continuous scheduling when time-sharing techniques are used.

Further improvement in scheduling efficacy can be accomplished with the introduction of migration. We have demonstrated that both plain gang-scheduling and backfilling gang-scheduling benefit from migration. The scheduling strategy that incorporates all our techniques: gang-scheduling, backfilling, and migration consistently outperforms the others for average job slow down, job wait time, and loss of capacity. It also achieves the highest system utilization, allowing the system to achieve up to 98 percent utilization. When a maximum acceptable slowdown of 20 is adopted, the system can achieve 94 percent utilization.

We have shown that combining techniques such as backfilling and migration with well-established gang-scheduling strategies can improve system performance significantly. A backfilling gang-scheduling system has been successfully deployed in the multi-Teraflop ASCI Blue and White machines based on the results from this paper. The new scheduling system in those machines is expected to improve utlization, reduce job wait times, and overall enhance the execution of large jobs.

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Yanyong Zhang received the BS degree in computer science from University of Science and Technology of China in 1997 and the PhD degree in computer science from Pennsylvania State University in August, 2002. Since September 2002, she has been an assistant professor in the department of Electrical and Computer Engineering at Rutgers, State University of New Jersey. Yanyong's research interests include parallel scheduling, cluster scheduling, operating systems, and performance evaluation. She is a member of the IEEE, the IEEE Computer Society and the ACM.

Hubertus Franke received a summa cum laude Diplom Informatik degree in 1987 from the Technical University of Karlsruhe, Germany. He received the MS and PhD degrees in electrical engineering from Vanderbilt University, in 1989 and 1992, respectively. In 1988, he was the recipient of the computer science achievement award of the Computer Science Research Center, Karlsruhe. Since 1993, Dr. Franke hs been a research staff member at the IBM Thomas J. Watson Research Center, where he currently manages the Enterprise Linux Group. Since joining IBM Research, he has been involved in various high performance computing, operating systems and computer architecture projects. Dr. Franke is the coauthor of more than 50 publications in these fields. He is a member of the IEEE and the IEEE Computer Society.

Jose Moreira received BS degrees in physics and electrical engineering in 1987 and the MS degree in electrical engineering in 1990, all from the University of Sao Paulo, Brazil. He received the PhD degree in electrical engineering from the University of Illinois at Urbana-Champaign in 1995. Dr. Moreira is a research staff member and manager of Modular System Software, at the IBM Thomas J. Watson Research Center. Since joining the IBM Thomas J. Watson Research Center in 1995, he has been involved in several high-performance computing projects, including the Teraflop-scale ASCI Blue-Pacific, ASCI White. Dr. Moreira is the author of more than 30 publications on high-performance computing. He participated in the development of a system for dynamic reconfiguration of HPF and MPI applications on a parallel computer (DRMS), the development of a gang-scheduling system for large parallel supercomputers (GangLL), and the development of compilers and libraries for high-performance technical computing in Java. He is currently the system software architect for the massively parallel BlueGene/L machine. He is a member of the IEEE and the IEEE Computer Society.

Anand Sivasubramaniam received the B.Tech degree in computer science from the Indian Institute of Technology, Madras, in 1989, and the MS and PhD degrees in computer science from the Georgia Institute of Technology in 1991 and 1995, respectively. He has been on the faculty at Pennsylvania State University since Fall 1995, where he is currently an associate professor. Dr. Anand's research interests are in computer architecture, operating systems, performance evaluation, and applications for both high performance computer systems and embedded systems. His research has been funded by US National Science Foundation through several grants, including the CAREER award, and from industries including IBM and Unisys Corp. He has several publications in leading journals and conferences and is on the editorial board of *IEEE Transactions on Computers*. He is a recipient of the 2002 IBM Faculty Award. He is a member of the IEEE, the IEEE Computer Society, and the ACM.