## Network Coding and Index Coding via Rank Minimization

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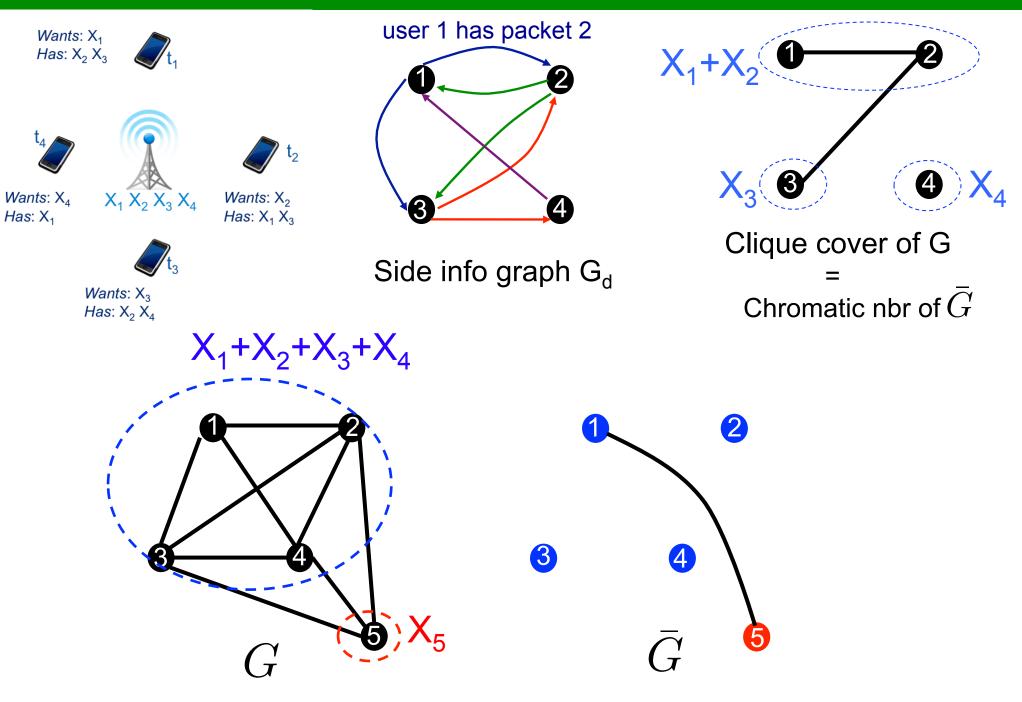
Joint work with Xiao Huang

## Index Coding

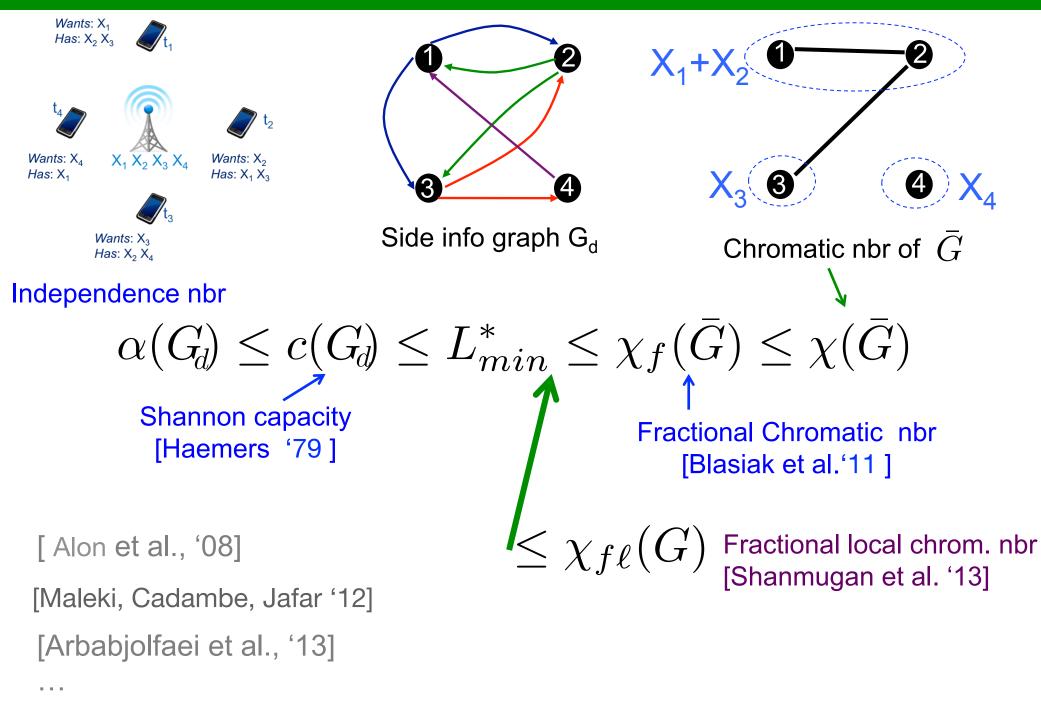
<i>Wants</i> : X <sub>1</sub> <i>Has</i> : X <sub>2</sub> X <sub>3</sub>	t <sub>1</sub>		Trans- mission #	Index code 1	Index code2
	$X_1 X_2 X_3 X_4$	Wants: X <sub>2</sub> Has: X <sub>1</sub> X <sub>3</sub>	1	<b>X</b> <sub>1</sub>	$X_1 + X_2$
t <sub>4</sub>			2	$X_2$	X <sub>3</sub>
			3	X <sub>3</sub>	<b>X</b> <sub>4</sub>
Wants: $X_4$ X Has: $X_1$			4	$X_4$	
	t <sub>3</sub>			L=4	L=3
Wants: X <sub>3</sub> Has: X <sub>2</sub> X <sub>4</sub>		Informed-source coding-on-demand [Birk & Kol infocom'98]			

& Kol infocom'98]

# Index Coding & Graph Coloring



# Index Coding & Graph Coloring

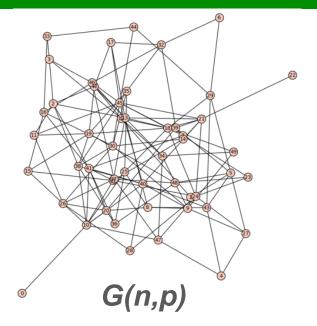


# Index Coding on Erdős-Rényi Graphs

 $\begin{array}{ll} \text{Independence nbr} & \text{Chromatic nbr} \\ \alpha(G) \leq L^*_{min} \leq \chi(\bar{G}) \end{array}$ 

• When  $n \to \infty$ , we have with prob 1

$$\log n \le L_{min}^* \le \frac{n}{\log n}$$

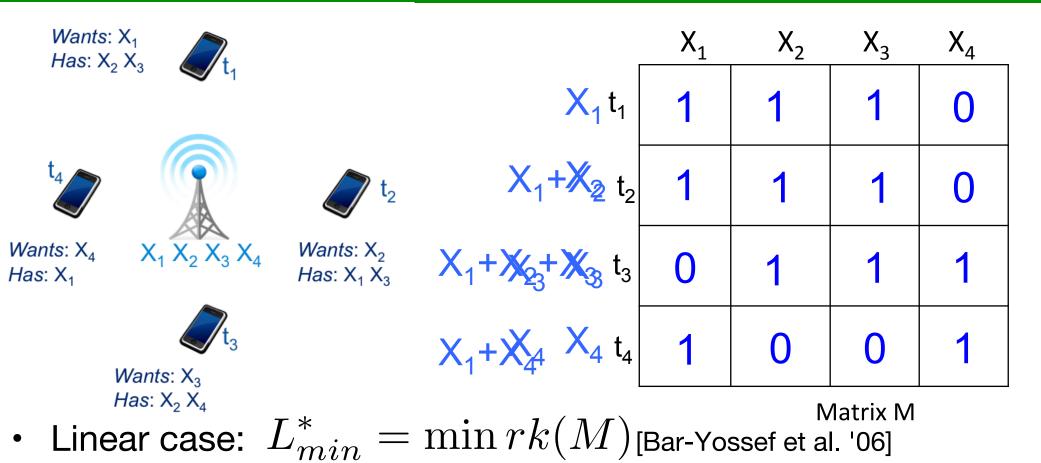


• Can improve the lower bound [Haviv & Langberg '11]

$$c\sqrt{n} \le L_{min}^* \le \frac{n}{\log n}$$

Coloring is the best upper bound we know on random graphs. Is it tight? OPEN

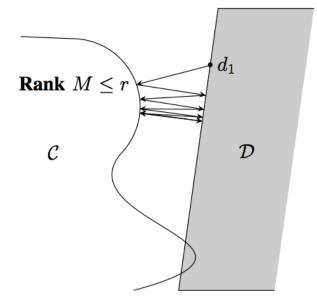
# Index Coding & Rank Minimization



- Min rank introduced by Haemers in 79 to bound the Shannon graph capacity.
  - Computing  $L^*_{min}$  is NP hard. [R. et al. '07] [Peeters '96]
  - Recent work on matrix completion for index coding [Hassibi et al. '14]

### Contributions

- Propose heuristics for solving index coding problem using rank minimization methods
- Compare to graph coloring solutions
- Matlab code for constructing
  - 1. Index codes (of course)
  - 2. Network codes for general networks
  - 3. Locally repairable codes
  - 4. Matroid representations

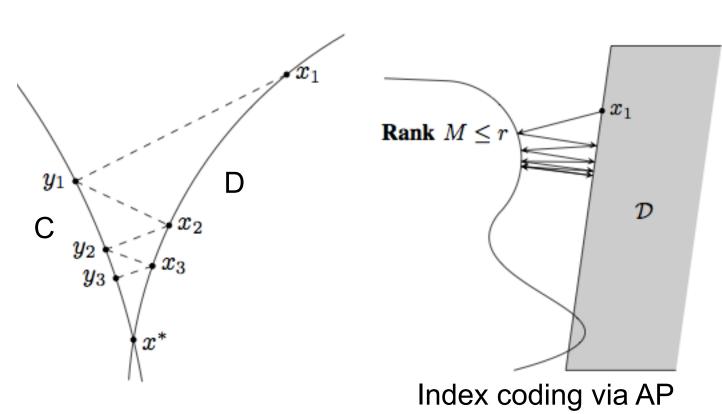


Index coding via Alternating Projections

- Interesting case where an optimization problem results in an "actual" code
- Open: theoretical guarantees

### Use Matrix Completion Methods to Construct Index Codes

- Min nuclear norm [Recht & Candes '09] does not work here
- Try alternative rank minimization methods [Fazel et al. 2001]



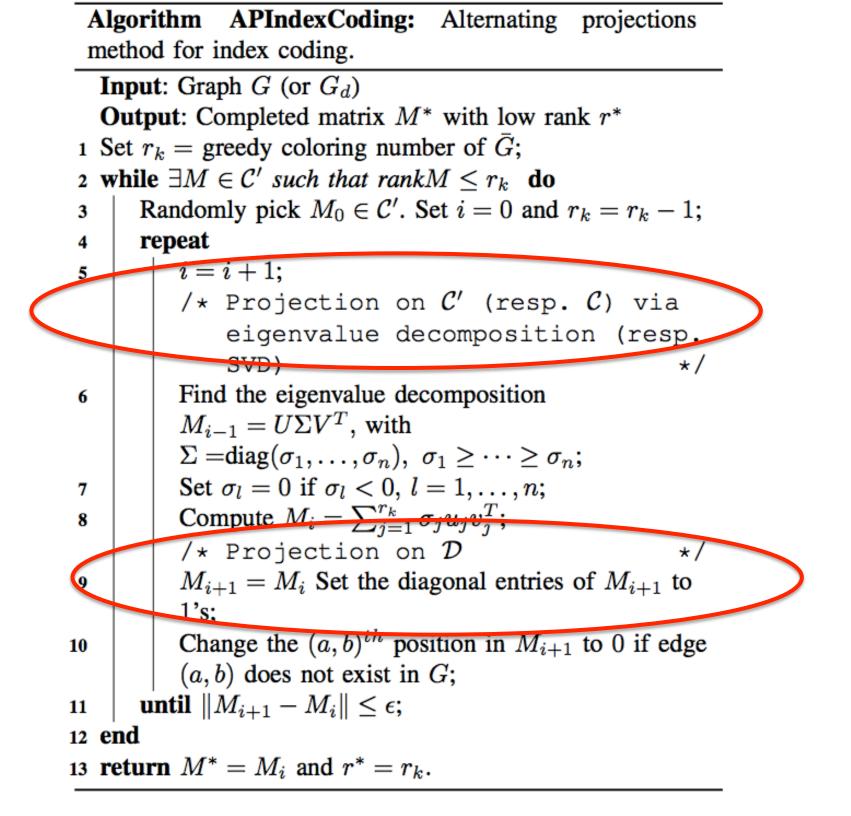
Two problems:

- Regions not convex
- 2) Optimization over the reals

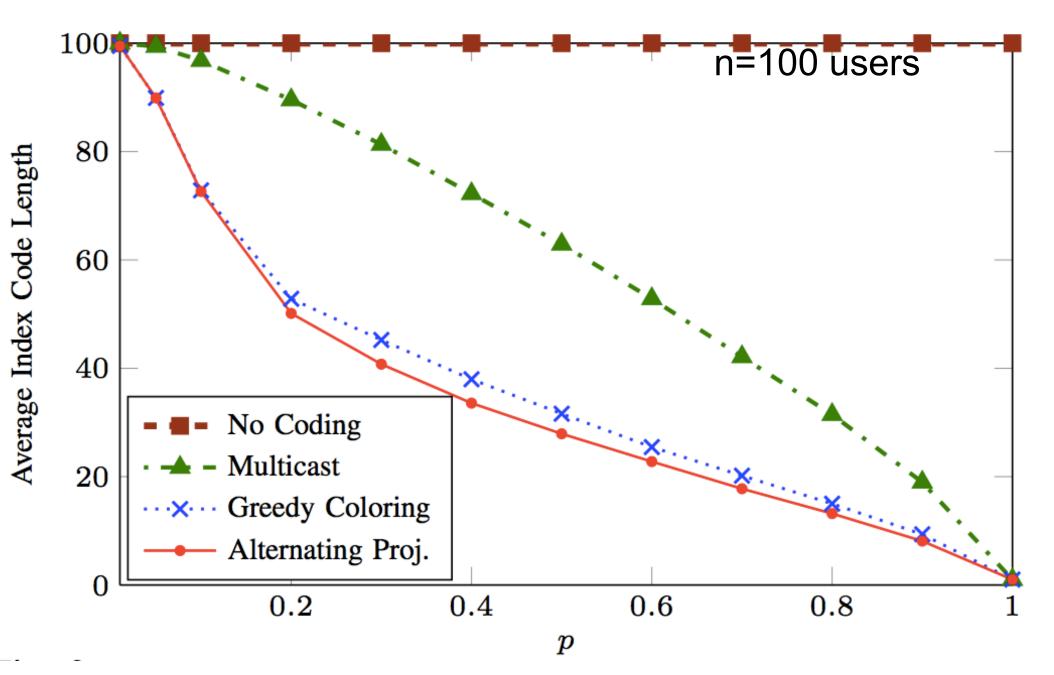
Network codes over the reals [Shwartz & Medard '14], Jaggi et al. '08]

#### Theorem: [Alternating Projections (AP)]

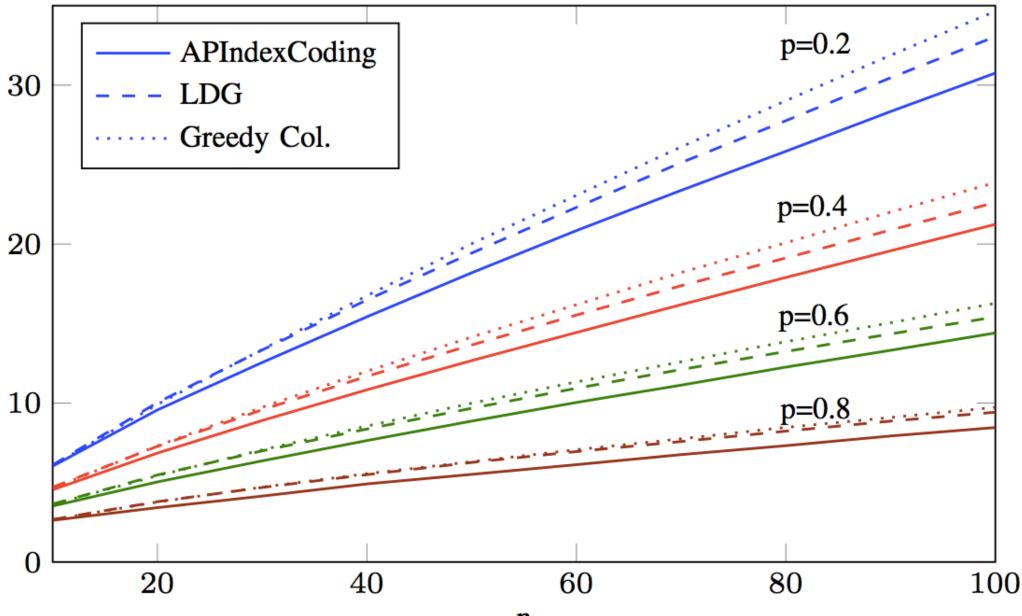
If C and D are convex, then an alternating projection sequence between these 2 regions converges to a point in their intersection.



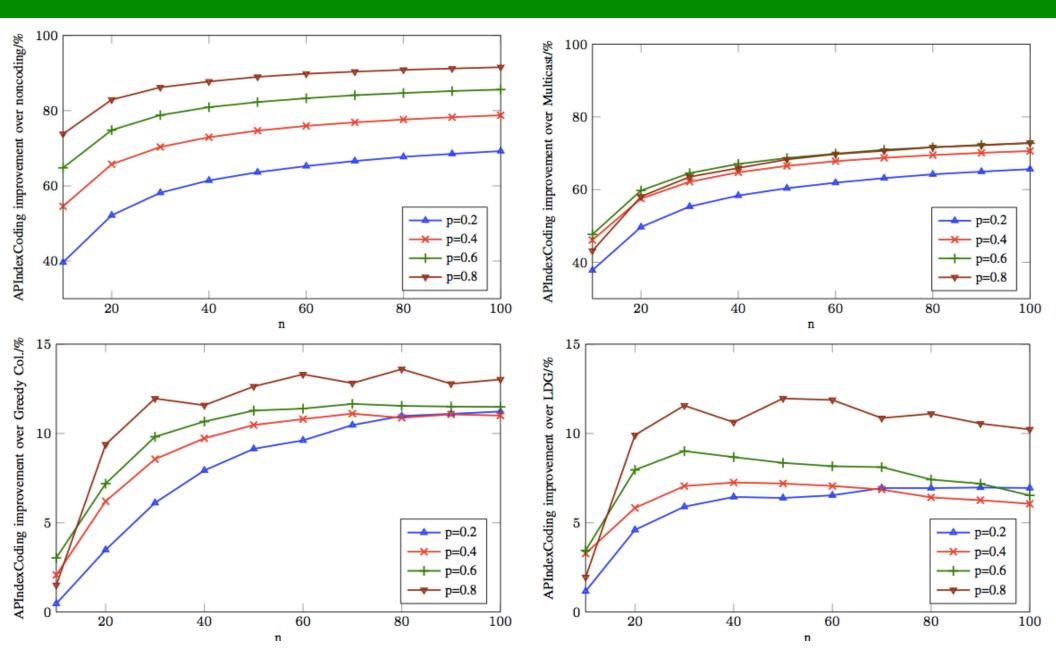
#### Index Coding via Alternating Proj on Random Undirected Graphs



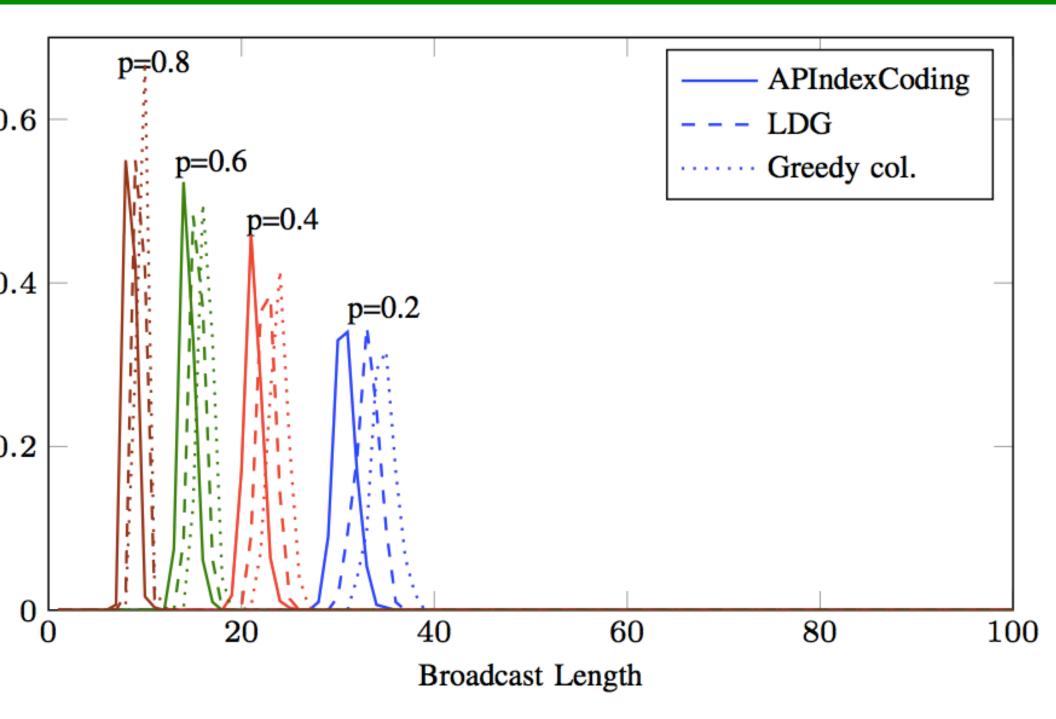
### Performance with Increasing Number of Users



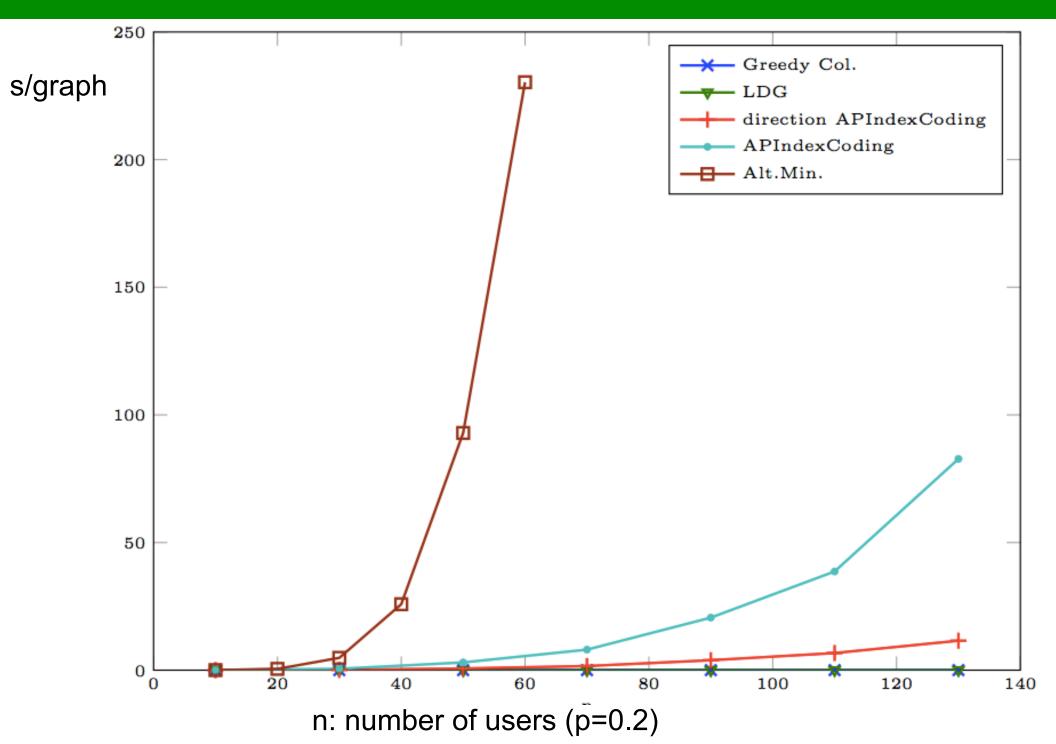
#### Improvement in Percentage



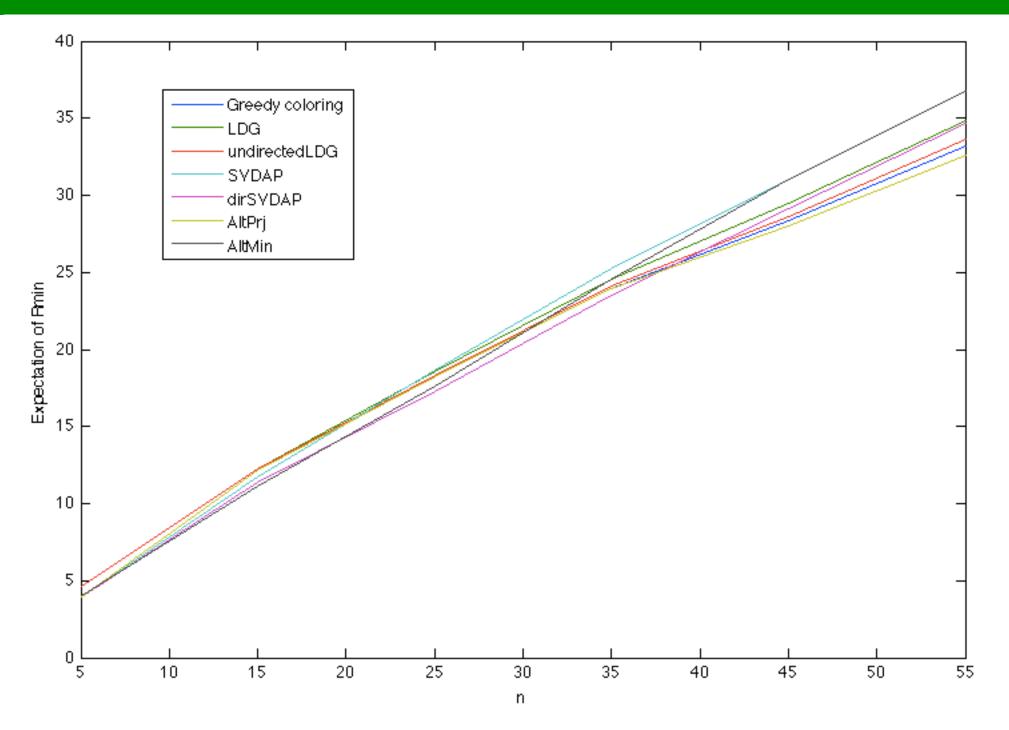
#### Concentration around the Average



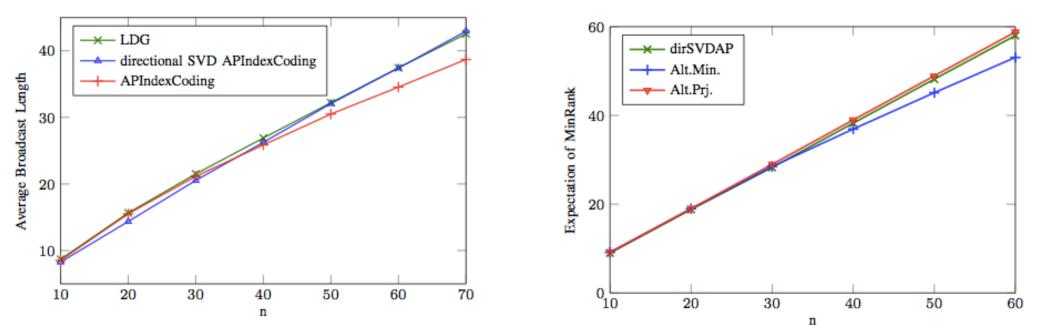
#### Running Time



#### Random Directed Graphs



#### Which Method to use for Directed Graphs?



### How close are these heuristics to the actual minimum

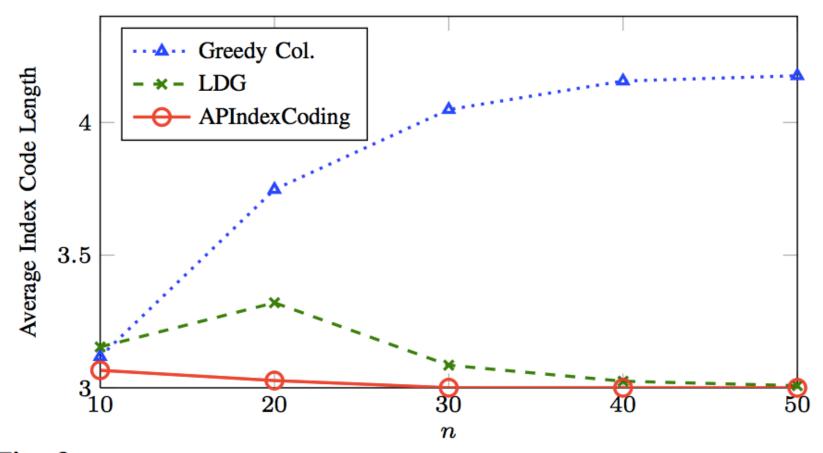


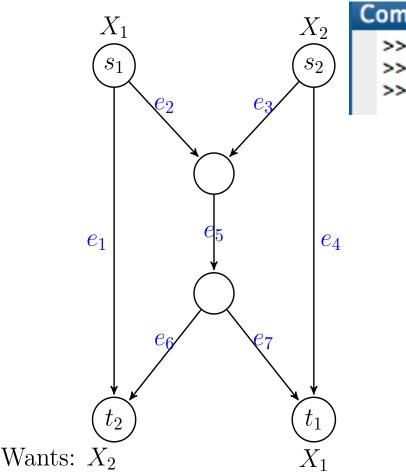
Fig. 9: Average index code length obtained by using Greedy Coloring, LDG and APIndexCoding for random 3-colorable graphs when p = 0.5.

- For n≤5, linear index coding achieve capacity [Ong,'14]. Online list of optimal index coding rates [kim]
- APindex coding was able to achieve all these rates whenever they are integers



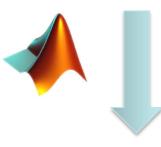
# "Application" to Network Coding & Storage & Matroids

#### Goal



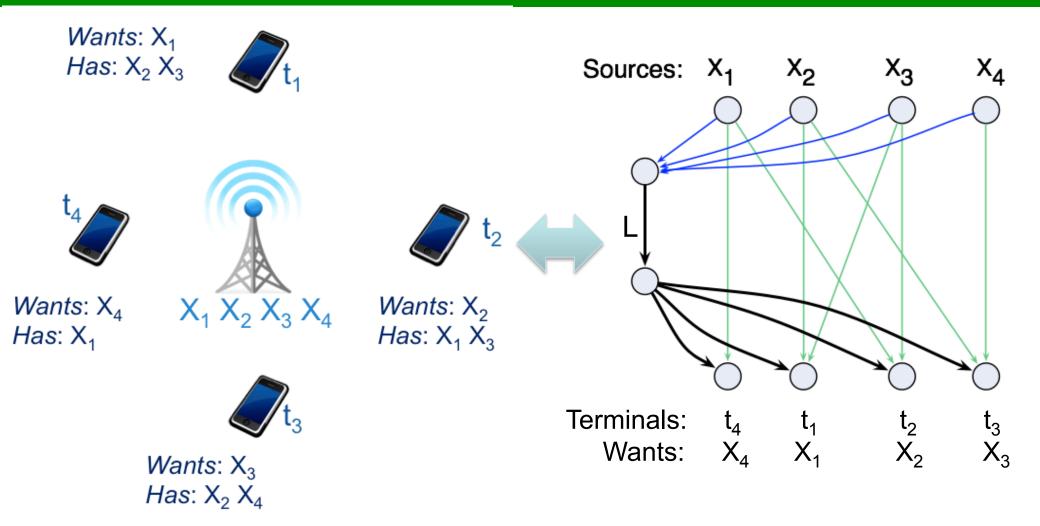
#### Command Window

- >> Butterfly\_Network=[1 5 ;1 3;2 3;2 6;3 4;4 5;4 6];
  >> Demand=[0 0 0 0 2 1];
- >> NC=FindNetworkCode(Butterfly\_Network,Demand)



**Output: Network Code** 

## Equivalence to Network Coding

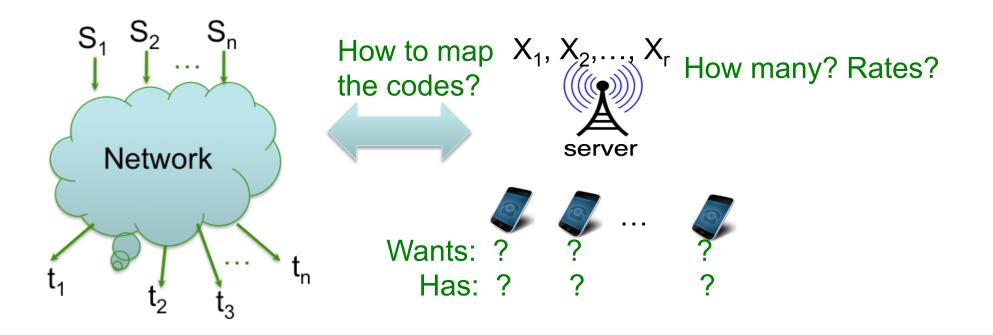


An index code of length L that satisfies all the users



A network code that satisfies all the terminals

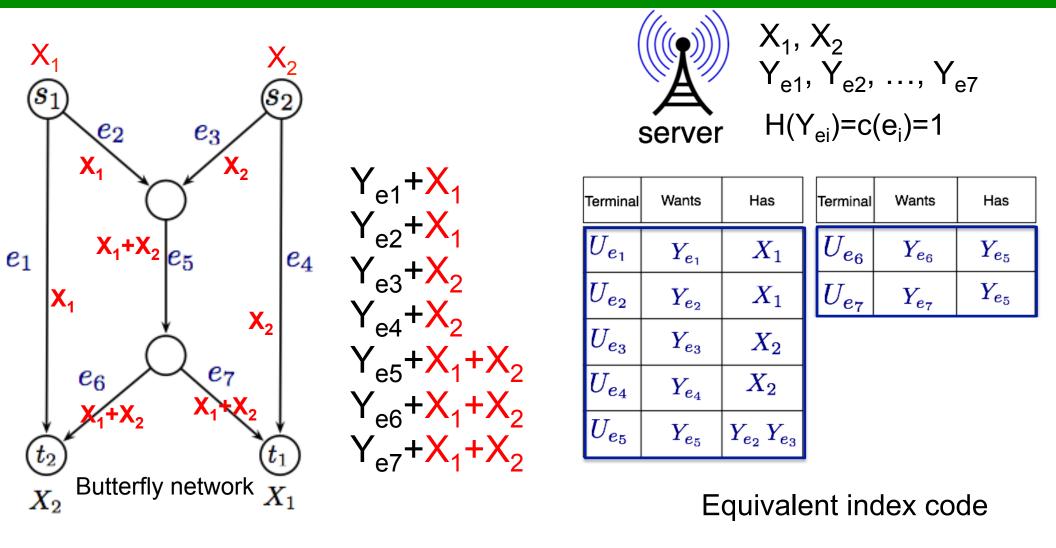
### Equivalence bw Index Coding & Network Coding



#### **Theorem:** [R,Sprintson, Georghiades'08] [Effros,R,Langberg ISIT'13]

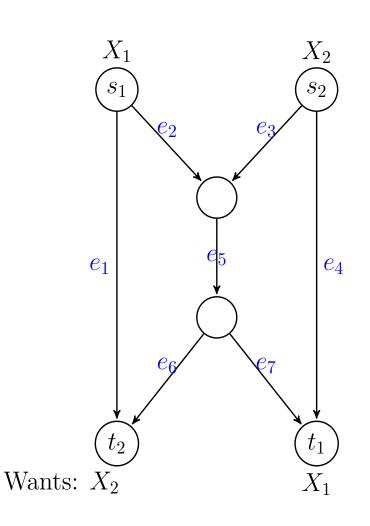
For any network coding problem, one can construct an index coding problem and an integer L such that given any network code, one can efficiently construct a index code of length L, and vice versa. (same block length, same error probability).

### Example



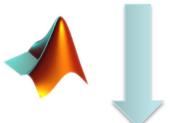
- All terminals in the index coding problem can decode
- Any linear network code gives an index code of length L=7

#### **Butterfly Network**



#### Command Window

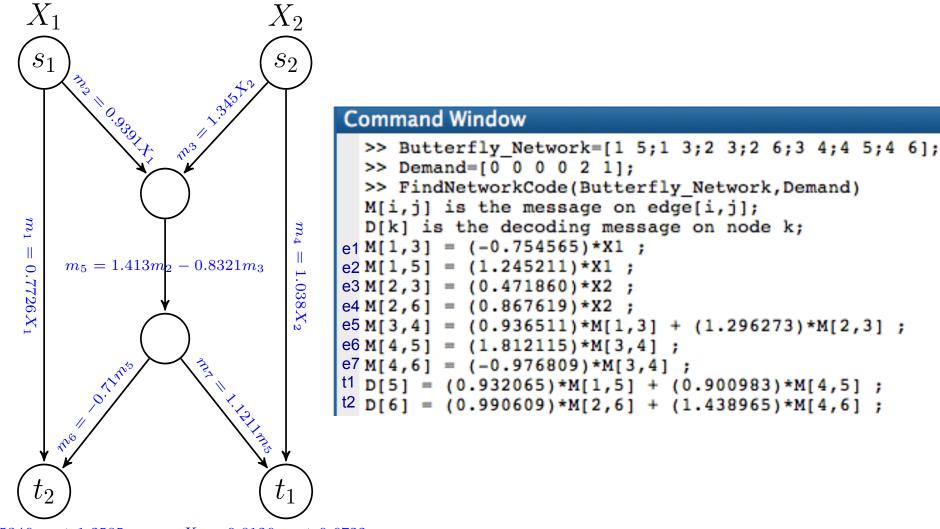
- >> Butterfly\_Network=[1 5;1 3;2 3;2 6;3 4;4 5;4 6];
- >> Demand=[0 0 0 0 2 1];
- >> FindNetworkCode(Butterfly\_Network,Demand)



Equivalent Index Coding Problem

```
M[i,j] is the message on edge[i,j];
D[k] is the decoding message on node k;
   ,3] = (-0.754565) * X1;
M[1
          (1.245211)*X1 ;
M[1
    51
       =
          (0.471860)*X2 ;
M[2
   ,31
       =
         (0.867619)*X2 ;
M[2
   ,61
       =
          (0.936511)*M[1,3] + (1.296273)*M[2,3] ;
M[3
    41 =
         (1.812115)*M[3,4];
       =
M<sub>f</sub>4
   ,51
M[4,6] = (-0.976809) * M[3,4];
D[5] = (0.932065) * M[1,5] + (0.900983) * M[4,5];
D[6] = (0.990609) * M[2,6] + (1.438965) * M[4,6];
```

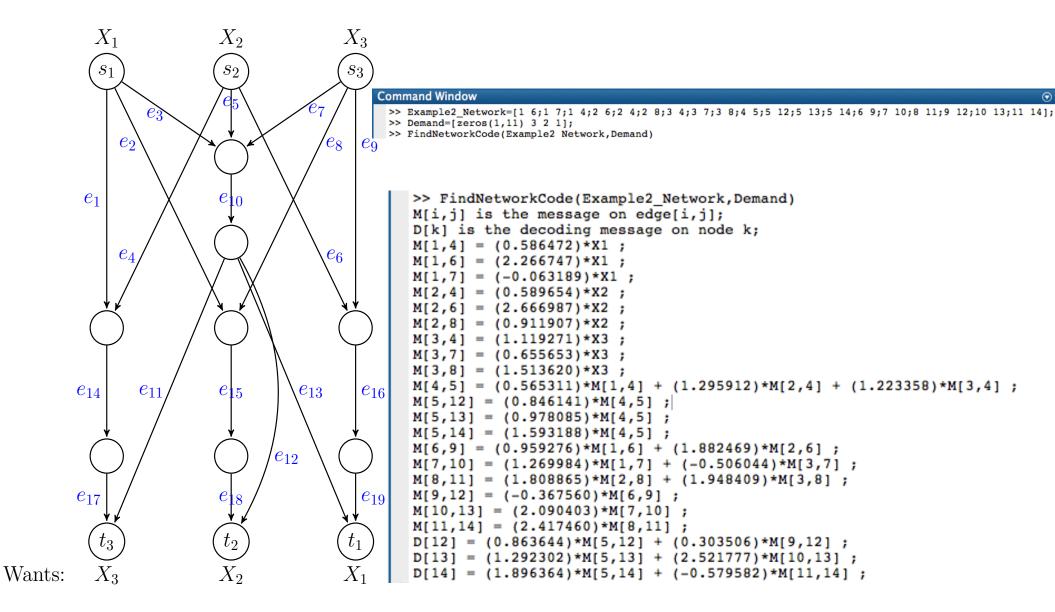
#### Index code



 $X_2 = 1.5346m_1 + 1.2585m_6$ 

 $X_1 = 0.8126m_4 + 0.6722m_7$ 

#### Example 2

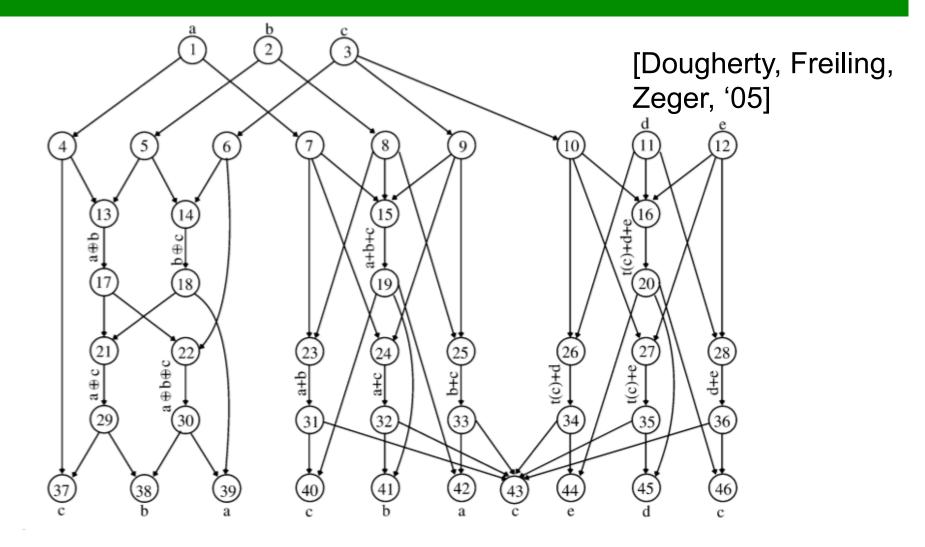


#### Solution of example 2

#### **Command Window**

```
>> Example2 Network=[1 6;1 7;1 4;2 6;2 4;2 8;3 4;3 7;3 8;4 5;5 12;5 13;5 14;6 9;7 10;8 11;9 12;10 13;11 14];
>> Demand=[zeros(1,11) 3 2 1];
>> FindNetworkCode(Example2 Network,Demand)
M[i,j] is the message on edge[i,j];
D[k] is the decoding message on node k;
M[1,4] = (0.586472) * X1;
M[1,6] = (2.266747) * X1 ;
M[1,7] = (-0.063189) * X1;
M[2,4] = (0.589654) \times X2;
M[2,6] = (2.666987) \times X2;
M[2,8] = (0.911907) * X2;
M[3,4] = (1.119271) * X3;
M[3,7] = (0.655653) * X3;
M[3,8] = (1.513620) * X3;
M[4,5] = (0.565311) * M[1,4] + (1.295912) * M[2,4] + (1.223358) * M[3,4];
M[5,12] = (0.846141) * M[4,5];
M[5,13] = (0.978085) * M[4,5];
M[5,14] = (1.593188) * M[4,5];
M[6,9] = (0.959276) * M[1,6] + (1.882469) * M[2,6];
M[7,10] = (1.269984) * M[1,7] + (-0.506044) * M[3,7];
M[8,11] = (1.808865) * M[2,8] + (1.948409) * M[3,8];
M[9,12] = (-0.367560) * M[6,9];
M[10,13] = (2.090403) * M[7,10];
M[11, 14] = (2.417460) * M[8, 11];
D[12] = (0.863644) * M[5, 12] + (0.303506) * M[9, 12];
D[13] = (1.292302) * M[5,13] + (2.521777) * M[10,13];
D[14] = (1.896364) * M[5, 14] + (-0.579582) * M[11, 14];
```

#### Non – linear code



#### **Command Window**

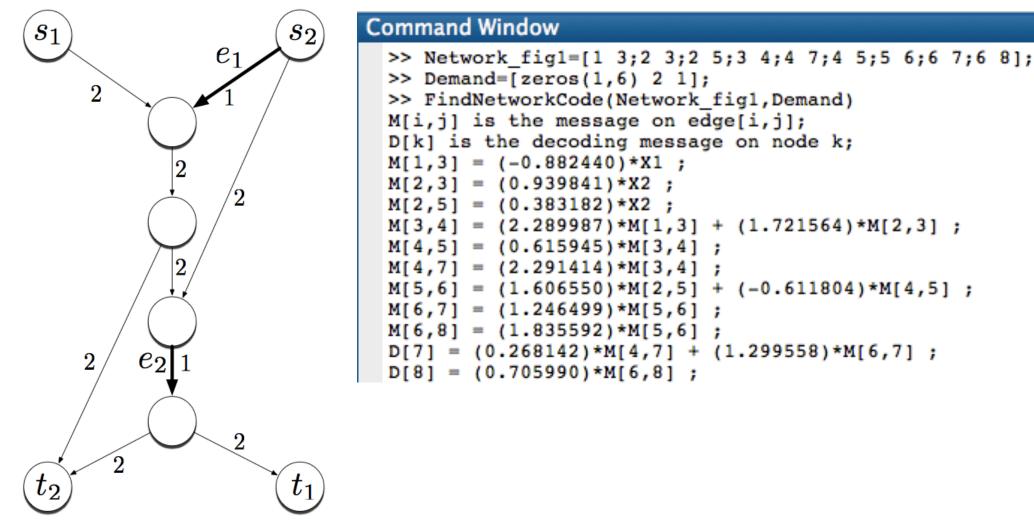
>> Network2=[1 4;2 4;2 5;3 5;4 6;5 7;6 8;6 9;7 8;7 14;3 9;8 10;9 11;10 12;10 13;11 13;11 14;1 12]; >> Demand=[0 0 0 0 0 0 0 0 0 0 0 3 2 1];

- >> NC=FindNetworkCode(Network2,Demand)

Cannot find scalar linear network code.

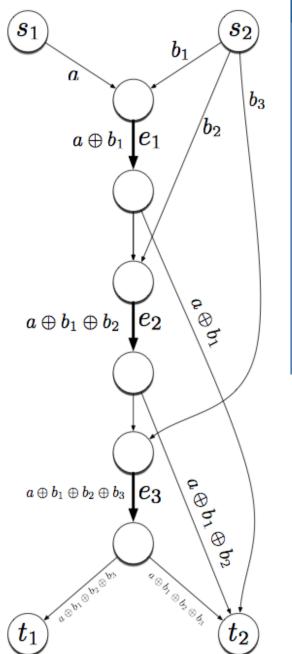
NC =

#### Example



S. Kamath et al., Generalized Network Sharing Outer Bound and the Two-Unicast Problem, 2011

#### **Examples**

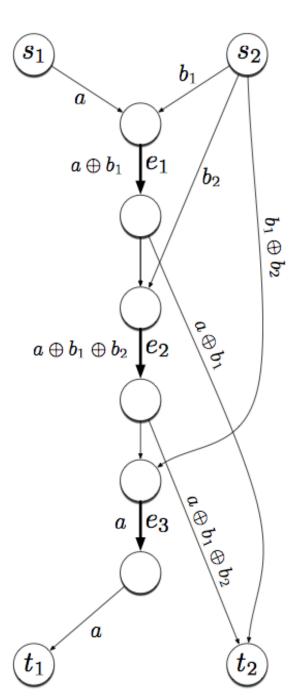


#### Command Window

```
>> Network fig2b=[1 3;2 3;2 5;2 7;3 4;4 10;4 5;5 6;6 7;6 10;7 8;8 9];
>> Demand=[zeros(1,8) 1 2];
>> FindNetworkCode(Network fig2b,Demand)
M[i,j] is the message on edge[i,j];
D[k] is the decoding message on node k;
M[1,3] = (1.839097) * X1;
M[2,3] = (1.246397) \times X2;
M[2,5] = (1.196041) * X2;
M[2,7] = (0.958880) * X2;
M[3,4] = (-0.078045) * M[1,3] + (0.219144) * M[2,3];
M[4,5] = (0.432557) * M[3,4];
M[4,10] = (-0.266030) * M[3,4];
M[5,6] = (0.641976) * M[2,5] + (0.659312) * M[4,5];
M[6,7] = (-0.644002) * M[5,6];
M[6,10] = (1.009827) * M[5,6];
M[7,8] = (1.812203) * M[2,7] + (3.204077) * M[6,7];
M[8,9] = (3.814314) * M[7,8];
D[9] = (3.200609) * M[8,9];
D[10] = (1.249332) * M[4,10] + (1.279767) * M[6,10];
```

S. Kamath, Tse, Anantharam, "Generalized Network Sharing Outer Bound and the Two-Unicast Problem", 2011.

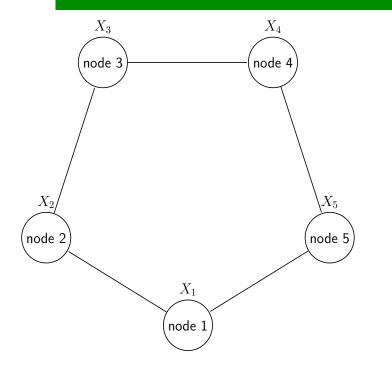
#### **Examples**



#### **Command Window**

```
>> Network fig2a=[1 3;2 3;2 5;2 7;3 4;4 10;4 5;5 6;6 7;6 10;7 8;8 9;8 10];
>> Demand=[zeros(1,8) 1 2];
>> FindNetworkCode(Network fig2a,Demand)
M[i,j] is the message on edge[i,j];
D[k] is the decoding message on node k;
M[1,3] = (0.357853) * X1;
M[2,3] = (1.160702) * X2;
M[2,5] = (0.873565) * X2;
M[2,7] = (-0.145219) \times X2;
M[3,4] = (-0.313999) * M[1,3] + (0.817991) * M[2,3];
M[4,5] = (-0.626207) * M[3,4];
M[4,10] = (0.880154) * M[3,4];
M[5,6] = (0.854540) * M[2,5] + (1.230356) * M[4,5];
M[6,7] = (1.472249) * M[5,6];
M[6,10] = (1.092611) * M[5,6];
M[7,8] = (0.304585) * M[2,7] + (1.737330) * M[6,7];
M[8,9] = (2.388991) * M[7,8];
M[8,10] = (0.565171) * M[7,8];
D[9] = (1.855486) * M[8,9];
D[10] = (1.174221) * M[4,10] + (0.997828) * M[6,10] + (0.148880) * M[8,10];
```

#### Locally Repairable Code



- Constructing Linear Repairable Codes\* is equivalent to constructing linear index codes
- [Mazumdar '14],[Shanmugam, Dimakis'14]

#### Command Window

```
>> Pentagon=[1 2;2 3;3 4;4 5;1 5];
```

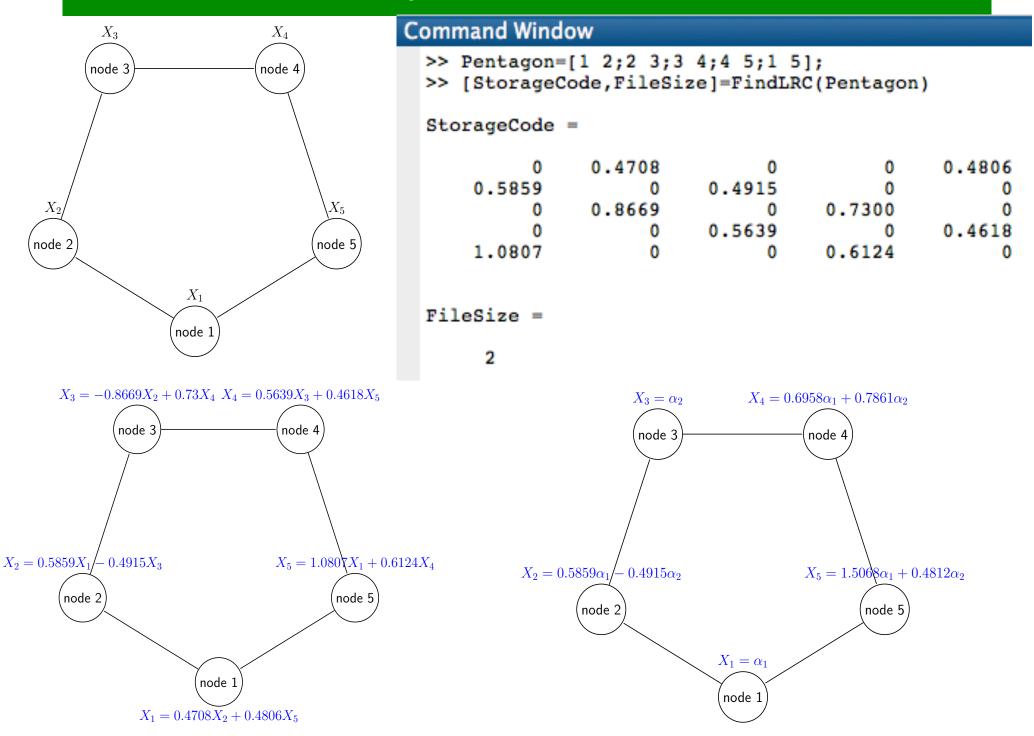
```
>> [StorageCode,FileSize]=FindLRC(Pentagon)
```

```
StorageCode =
```

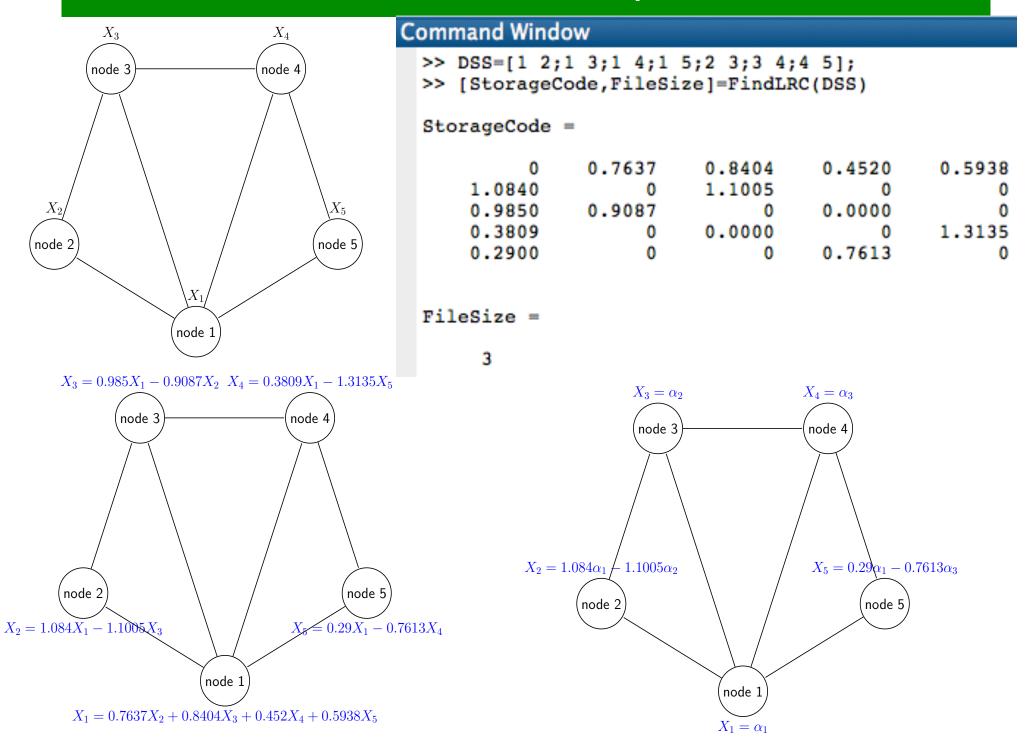
0	0.4708	0	0	0.4806
0.5859	0	0.4915	0	0
0	0.8669	0	0.7300	0
0	0	0.5639	0	0.4618
1.0807	0	0	0.6124	0

FileSize =

#### Locally Repairable Code



#### Another Example



### **Concluding Remarks**

- Index coding is NP hard. But, this is not the end of the story.
- Proposed the use of different rank minimizations methods for constructing index codes
- Index coding is connected to many other interesting topic in the literature
- Building a matlab library to
  - Construct network codes
  - Codes with locality for distributed storage
  - Matroid representations
- Open questions:
- Provide theoretical guarantees on the performance of these algorithms
- How to go from the reals to finite fields?

#### Code Available Online

#### www.ece.iit.edu/~salim/codes.html

← → C 🗋 www	v.ece.iit.edu/~salim/codes.html	2	7	≡
Home Curriculum Vitae	Salim El Rouayheb – Software			_
Google Scholar ResearchGate Students	Index Coding via Rank Minimization Matlab code			_
Videos Research	The Matlab code above implements various rank minimization methods (Alternating Projections, Directional Alternating Projections, etc.) to construct optimal index codes.	t nea	ar-	

### Full Paper available on Arxiv.



# **QUESTIONS?**

Lemma 3: Let  $\mathbf{X} = [X_1, X_2, \dots, X_n]^T$  be the message vector at the transmitter. Assume that the index code given by matrix  $M^*$  is used and let  $\hat{\mathbf{X}} = [\hat{X}_1, \hat{X}_2, \dots, \hat{X}_n]^T$  be the messages decoded by the users. Then,

$$\|\mathbf{X} - \hat{\mathbf{X}}\| \le \epsilon X_{\max} \sqrt{n}.$$
 (5)

$$\|\mathbf{X} - \hat{\mathbf{X}}\| = \|\mathbf{X} - M^* A^{\dagger} A \mathbf{X} - M^* \circ \Phi \mathbf{X}\|$$
(10)  
=  $\|\mathbf{X} - M^* \mathbf{X} - M^* \circ \Phi \mathbf{X}\|$ (11)

$$= \|(I + M^* \circ \Phi - M^*)\mathbf{X}\| \tag{12}$$

$$= \|(M_{\mathcal{D}} - M^*)\mathbf{X}\| \tag{13}$$

$$\leq \|M_{\mathcal{D}} - M^*\| \|\mathbf{X}\| \tag{14}$$

$$= \| U \begin{bmatrix} 0 & 0 \\ 0 & \Sigma_{n-r^*} \end{bmatrix} V^T \| X_{\max} \sqrt{n} \qquad (15)$$

$$\leq \sigma_{r^*+1} X_{\max} \sqrt{n} \tag{16}$$

$$\leq \epsilon X_{\max} \sqrt{n}.$$
 (17)

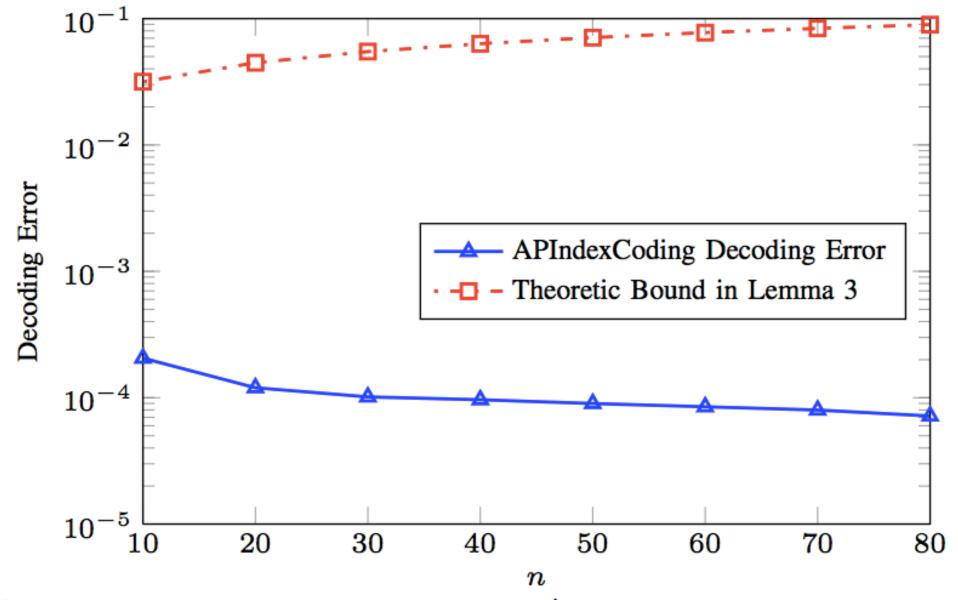


Fig. 12: Average decoding error  $||\mathbf{X} - \hat{\mathbf{X}}||$  in APIndexcoding on random undirected graphs when p = 0.2,  $\epsilon = 0.001$  and  $X_i \in [-10, 10]$  ( $X_{\text{max}} = 10$ ).